



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013
OF THE CONDITION AND AFFAIRS OF THE

American Service Insurance Company, Inc.

NAIC Group Code	1326 (Current Period)	1326 (Prior Period)	NAIC Company Code	42897	Employer's ID Number	36-3223936
Organized under the Laws of	Illinois			State of Domicile or Port of Entry		Illinois
Country of Domicile	United States					
Incorporated/Organized	03/11/1983			Commenced Business		04/18/1983
Statutory Home Office	150 Northwest Point Blvd., Suite 300 (Street and Number)			Elk Grove Village, IL, US 60007 (City or Town, State, Country and Zip Code)		
Main Administrative Office	150 Northwest Point Blvd., Suite 300 (Street and Number)			Elk Grove Village, IL, US 60007 (City or Town, State, Country and Zip Code)		
Mail Address	150 Northwest Point Blvd., Suite 300 (Street and Number or P.O. Box)			Elk Grove Village, IL, US 60007 (City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	150 Northwest Point Blvd., Suite 300 (Street and Number)			Elk Grove Village, IL, US 60007 (City or Town, State, Country and Zip Code)		
Internet Web Site Address	www.atlas-fin.com					
Statutory Statement Contact	Paul Anthony Romano (Name)			847-700-8603 (Area Code) (Telephone Number) (Extension)		
	promano@atlas-fin.com (E-mail Address)			847-264-2716 (Fax Number)		

OFFICERS

Name	Title	Name	Title
Scott David Wollney	President, CEO	Paul Anthony Romano	VP Finance, CFO, Treasurer
Leslie Patterson DiMaggio	Secretary, VP Operations & IT		
Bruce Wayne Giles	VP Product Development & Underwriting	Joseph Raymond Shugrue	VP Claims

OTHER OFFICERS

DIRECTORS OR TRUSTEES

Leslie Patterson DiMaggio	Bruce Wayne Giles	Paul Anthony Romano	Joseph Raymond Shugrue
Scott David Wollney			

State of Illinois
County of Cook

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Scott David Wollney President, CEO	Paul Anthony Romano VP Finance, CFO, Treasurer	Leslie Patterson DiMaggio Secretary, VP Operations & IT
a. Is this an original filing? Yes [X] No []		
b. If no:		
1. State the amendment number		
2. Date filed		
3. Number of pages attached		

Subscribed and sworn to before me this 8th day of November, 2013

Imelda Bayani, Senior Staff Accountant
04/03/2017





ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	69,475,726		69,475,726	74,476,369
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	432,500		432,500	1,571,412
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less encumbrances)				
\$				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less encumbrances)	165,947		165,947	165,947
5. Cash (\$ (353,065)), cash equivalents (\$) and short-term investments (\$ 5,157,552) premium notes)	4,804,487		4,804,487	13,964,182
6. Contract loans (including \$)				
7. Derivatives				
8. Other invested assets	1,999,124		1,999,124	2,009,826
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	76,877,784		76,877,784	92,187,736
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	365,371		365,371	359,381
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,367,831	165,927	5,201,904	2,603,670
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	12,677,310		12,677,310	7,451,376
15.3 Accrued retrospective premiums				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	1,704,575		1,704,575	2,701,116
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon				10,152
18.2 Net deferred tax asset	9,382,241	5,659,339	3,722,902	5,032,307
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	1,799,091	1,605,891	193,200	44,258
21. Furniture and equipment, including health care delivery assets (\$)	466,293	466,293		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	368,523		368,523	138,660
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	2,004,207	993,296	1,010,911	1,050,467
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	111,013,226	8,890,746	102,122,479	111,578,123
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	111,013,226	8,890,746	102,122,479	111,578,123
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Other assets - appeal bond	1,000,000		1,000,000	1,000,000
2502. Receivable from pools	6,691		6,691	791
2503. Other receivables	4,220		4,220	9,676
2598. Summary of remaining write-ins for Line 25 from overflow page	993,296	993,296		40,000
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,004,207	993,296	1,010,911	1,050,467

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 10,760,837)	40,514,238	39,487,918
2. Reinsurance payable on paid losses and loss adjustment expenses	1,922,663	3,753,374
3. Loss adjustment expenses	4,161,294	5,582,996
4. Commissions payable, contingent commissions and other similar charges	945,182	197,566
5. Other expenses (excluding taxes, licenses and fees)	693,256	634,500
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	669,687	600,111
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	331,948	
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 22,827,889 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	21,070,784	16,342,502
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	2,668,115	2,742,949
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	194,300	158,870
15. Remittances and items not allocated	141,370	5,141
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	568	99,527
20. Derivatives		
21. Payable for securities		1,038
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	1,949,660	3,346,350
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	75,263,066	72,952,842
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	75,263,066	72,952,842
29. Aggregate write-ins for special surplus funds	155,342	187,351
30. Common capital stock	3,000,004	3,000,004
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	75,408,741	87,408,741
35. Unassigned funds (surplus)	(51,704,674)	(51,970,815)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	26,859,413	38,625,281
38. Totals (Page 2, Line 28, Col. 3)	102,122,479	111,578,123
DETAILS OF WRITE-INS		
2501. Retroactive reinsurance reserve assumed	1,875,960	3,237,791
2502. Escheatables	73,700	108,559
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,949,660	3,346,350
2901. Deferred gain on real estate	155,342	187,351
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	155,342	187,351
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 23,582,659)	18,873,007	16,676,172	21,794,394
1.2 Assumed (written \$ 49,765,797)	35,850,303	14,253,283	22,314,687
1.3 Ceded (written \$ 43,204,244)	29,307,381	12,172,701	17,012,460
1.4 Net (written \$ 30,144,212)	25,415,930	18,756,754	27,096,621
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 13,328,268):			
2.1 Direct	8,818,138	7,326,750	8,669,355
2.2 Assumed	17,939,524	9,038,153	12,628,412
2.3 Ceded	14,275,822	5,699,295	7,591,845
2.4 Net	12,481,840	10,665,608	13,705,922
3. Loss adjustment expenses incurred	3,826,622	2,304,230	4,911,940
4. Other underwriting expenses incurred	8,788,798	7,677,572	9,928,216
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	25,097,259	20,647,410	28,546,078
7. Net income of protected cells	318,671	(1,890,656)	(1,449,457)
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)			
INVESTMENT INCOME			
9. Net investment income earned	946,882	1,234,874	2,124,939
10. Net realized capital gains (losses) less capital gains tax of \$ 163,557	1,651,809	854,733	779,930
11. Net investment gain (loss) (Lines 9 + 10)	2,598,691	2,089,607	2,904,869
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 24,052 amount charged off \$ 189,199)	(165,147)	(3,757,078)	(3,866,701)
13. Finance and service charges not included in premiums	139,630	110,154	157,505
14. Aggregate write-ins for miscellaneous income	151,186	71,719	206,293
15. Total other income (Lines 12 through 14)	125,669	(3,575,205)	(3,502,903)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	3,043,031	(3,376,254)	(2,047,491)
17. Dividends to policyholders	380		
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	3,042,651	(3,376,254)	(2,047,491)
19. Federal and foreign income taxes incurred	178,543		(401,782)
20. Net income (Line 18 minus Line 19)(to Line 22)	2,864,108	(3,376,254)	(1,645,709)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year			
22. Net income (from Line 20)	38,625,281	37,355,569	37,355,569
23. Net transfers (to) from Protected Cell accounts	2,864,108	(3,376,254)	(1,645,709)
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ (52,462)	(101,838)	(97,014)	289,569
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	729,102	281,776	623,188
27. Change in nonadmitted assets	(3,225,231)	2,095,153	1,944,161
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles		(128,848)	(128,848)
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus	(12,000,000)		
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	(32,009)	198,021	187,351
38. Change in surplus as regards policyholders (Lines 22 through 37)	(11,765,868)	(1,027,166)	1,269,712
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	26,859,413	36,328,403	38,625,281
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)			
1401. Retroactive reinsurance income	149,396	73,099	191,171
1402. Miscellaneous income	3,915	4,909	22,909
1403. Gain on sale of fixed assets		105	105
1498. Summary of remaining write-ins for Line 14 from overflow page	(2,126)	(6,294)	(7,892)
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	151,186	71,719	206,293
3701. Change in deferred gain on real estate	(32,009)	198,021	187,351
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	(32,009)	198,021	187,351

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	22,092,110	25,222,482	35,127,402
2. Net investment income.....	1,445,386	525,834	1,827,628
3. Miscellaneous income.....	125,669	(3,575,205)	(3,502,903)
4. Total (Lines 1 to 3).....	23,663,165	22,173,111	33,452,127
5. Benefit and loss related payments.....	11,937,851	17,959,735	22,925,449
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	13,461,648	13,223,313	17,457,580
8. Dividends paid to policyholders.....	380		
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....		10,000	10,000
10. Total (Lines 5 through 9).....	25,399,879	31,193,048	40,394,029
11. Net cash from operations (Line 4 minus Line 10).....	(1,736,714)	(9,019,937)	(6,941,902)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	56,700,515	28,577,915	43,199,639
12.2 Stocks.....	1,388,368	1,630,734	1,630,734
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....			
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	877	623,989	1,038
13.2 Stocks.....	58,089,760	44,157,711	58,155,484
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	1,039	(356,110)	(356,110)
14. Net increase (or decrease) in contract bans and premium notes.....	50,792,511	1,000,000	2,000,000
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	50,792,511	37,620,723	45,205,345
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....	(12,000,000)		
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(2,720,230)	(3,943,609)	(4,785,471)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(14,720,230)	(3,943,609)	(4,785,471)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	(9,159,695)	(6,426,558)	1,223,766
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	13,964,182	12,740,415	12,740,415
19.2 End of period (Line 18 plus Line 19.1).....	4,804,487	6,313,857	13,964,182

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Transferred leasehold improvements from real estate to fixed assets.....	501,110	501,110
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NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies:

A. Accounting Practices

The accompanying financial statements of American Service Insurance Company, Inc. (the Company) have been prepared in conformity with accounting practices prescribed or permitted by the Illinois Department of Insurance.

Prescribed statutory accounting practices (SAP) include the National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual*, as well as state laws, regulations, and general administrative rules. Permitted statutory accounting practices encompass all accounting practices not so prescribed when such practices are approved by the insurance department of the insurer's state of domicile. The Company did not use any permitted or prescribed practices during 2013 or 2012.

No significant changes to the remainder of the note.

2. Accounting Changes and Corrections of Errors:

No significant changes.

3. Business Combinations and Goodwill:

No significant changes.

4. Discontinued Operations:

No significant changes.

5. Investments:

D. Loan-Backed Securities

- 1) Prepayment assumptions were obtained from Bloomberg market data and the Company's investment manager's internal estimates.
- 2) The Company does not have any loan-backed securities with a recognized other-than-temporary impairment.
- 3) The Company does not have any loan-backed securities with a recognized other-than-temporary impairment.
- 4) All impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss are as follows:

(a)	The aggregate amount of unrealized losses:	1.	Less than 12 Months	\$	847,018
		2.	12 Months or Longer	\$	0
(b)	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	\$	31,191,948
		2.	12 Months or Longer	\$	0

- 5) Bonds in an unrealized loss position are regularly reviewed for other-than-temporary declines in value. Factors considered in determining whether a decline is other-than-temporary include the length of time a bond has been in an unrealized loss position and the reasons for the decline in value. Assessments include judgments about an obligor's or guarantor's current and projected financial position, projected ability to service and repay its debt obligations, the existence of, and realizable value for, any collateral backing the obligations, and the macro-economic and micro-economic outlooks for specific industries and issuers. Estimating the future cash flows of loan-backed securities also involves assumptions regarding the underlying collateral such as prepayment rates, default and recovery rates, existence of subordinated classes capable of absorbing losses, and third-party servicing abilities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any repurchase agreements or securities lending transactions.

No significant changes to the remainder of the note.

6. Joint Ventures, Partnerships, and Limited Liability Companies:

No significant changes.

7. Investment Income:

No significant changes.

8. Derivative Investments:

No significant changes.

9. Income Taxes:

No significant changes.

10. Information Concerning Parent, Subsidiaries and Affiliates:

A., B., & C.

On January 2, 2013, Atlas Financial Holdings, Inc. and American Insurance Acquisition (AIA), the Company's ultimate parent and direct parent, respectively, acquired Camelot Services, Inc. and its sole insurance subsidiary, Gateway Insurance Company.

NOTES TO FINANCIAL STATEMENTS

On January 2, 2013 and March 22, 2013, the Company paid extraordinary dividends of \$7.3 million and \$4.7 million, respectively, to its parent AIA.

F. The Company has a services agreement with its parent company to reimburse, at cost, any expense incurred by the parent for the benefit of the Company.

No other significant changes to the remainder of the note.

11. Debt:

No significant changes.

12. Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences and Other Post-retirement Benefit Plans:

No significant changes.

13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations:

No significant changes except the disclosure in Note 10.

14. Contingencies:

No significant changes.

15. Leases:

No significant changes.

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk:

No significant changes.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities:

The Company had no transfers of receivables reported as sales, no transfers and servicing of financial assets and no wash sales.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans:

No significant changes.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators:

No significant changes.

20. Fair Value Measurement:

A.

1) Fair Value Measurements at Reporting Date

Description	Level 1	Level 2	Level 3	Total
a. Assets at fair value				
Common Stock				
Industrial and Misc.	\$432,500	\$ 0	\$ 0	\$432,500
Total assets at fair value	\$432,500	\$ 0	\$ 0	\$432,500

- 2) The Company does not have any fair value measurements categorized within Level 3.
4) The Company does not have any fair value measurements categorized within Level 2 or 3.
5) The Company does not have derivative assets and liabilities.

C. Fair Value Measurements of All Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Long Term Bonds	\$67,722,724	\$69,475,726	\$5,880,418	\$61,842,306	\$0	\$0
Common Stock	\$432,500	\$432,500	\$432,500	\$0	\$0	\$0
Short Term Bonds	\$5,158,290	\$5,157,552	\$3,113,157	\$2,045,133	\$0	\$0

D. The Company does not have any assets that are not practicable to estimate fair value as of September 30, 2013.

21. Other Items:

No significant changes.

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent:

There are no material subsequent events.

23. Reinsurance:

No significant changes.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination:

No significant changes.

25. Change in Incurred Losses and Loss Adjustment Expenses:

Reserves as of December 31, 2012 were \$45.1 million. As of September 30, 2013, \$12.5 million has been paid for incurred losses and loss adjustment expenses attributable to insured events of prior accident years. Reserves remaining for prior years are now \$32.6 million as a result of re-estimation of unpaid claims and claim adjustment expenses principally on private passenger and commercial auto lines of business. Therefore, there has been no prior-year development since December 31, 2012 to September 30, 2013. Any change is generally the result of ongoing analyses of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

26. Intercompany Pooling Arrangements:

A. The Company is a member of an intercompany pooling arrangement in 2013 as follows:

NAIC#	Company	Pool Member Status	%
42897	American Service Insurance Company, Inc.	Lead	50
38237	American Country Insurance Company	Affiliate	30
28339	Gateway Insurance Company	Affiliate	20

No significant changes to the remainder of the note.

27. Structured Settlements:

No significant changes.

28. Health Care Receivables:

No significant changes.

29. Participating Policies:

No significant changes.

30. Premium Deficiency Reserves:

No significant changes.

31. High Deductibles:

No significant changes.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses:

No significant changes.

33. Asbestos/Environmental Reserves:

No significant changes.

34. Subscriber Savings Accounts:

No significant changes.

35. Multiple Peril Crop Insurance:

No significant changes.

36. Financial Guaranty Insurance:

No significant changes.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]
- 3.2 If the response to 3.1 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [X] NA []
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2010
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2010
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/29/2012
- 6.4 By what department or departments?
Illinois Department of Insurance

Yes [] No [] NA [X]
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [X] No [] NA []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] NA []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes

[X]

No

[]
- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes

[]

No

[X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes

[]

No

[X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes

[X]

No

[]

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes

[]

No

[X]

11.2

If yes, give full and complete information relating thereto:

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13.

Amount of real estate and mortgages held in short-term investments: \$

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes

[]

No

[X]

14.2

If yes, please complete the following:

	<div><div>1</div><div>Prior Year-End Book/Adjusted Carrying Value</div></div>	<div><div>2</div><div>Current Quarter Book/Adjusted Carrying Value</div></div>
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes

[]

No

[X]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

If no, attach a description with this statement.

7.1

GENERAL INTERROGATORIES

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2\$
- 16.3 Total payable for securities lending reported on the liability page\$

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with: Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

¹ Name of Custodian(s)	² Custodian Address
Bank of America	540 W. Madison Street, Suite 2000 Chicago, IL 60661

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

¹ Name(s)	² Location(s)	³ Complete Explanation(s)

Yes [] No [X]

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

17.4 If yes, give full and complete information relating thereto:

¹ Old Custodian	² New Custodian	³ Date of Change	⁴ Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

¹	² Name(s)	³ Address
Central Registration Depository 109875	Asset Allocation & Management Company, LLC	30 N LaSalle Street, Suite 3500 Chicago, IL 60602

18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed?

18.2 If no, list exceptions:

Yes [X] No []

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ☐ Yes ☐ No ☐ NA ☐

If yes, attach an explanation.

	Yes	No
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?		
If yes, attach an explanation.		

	Yes	No	[X]
3.1 Have any of the reporting entity's primary reinsurance contracts been canceled?			
3.2 If yes, give full and complete information thereto.			

	Yes	No	X
4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see <i>Annual Statement Instructions</i> pertaining to disclosure for definition of "tabular reserves,") discounted at a rate of interest greater than zero?			

4.2 If yes, complete the following schedule:

[illegible]

5. Operating Percentages:

5.1 A&H loss percent.....	0
5.2 A&H cost containment percent.....	0
5.3 A&H expense percent excluding cost containment expenses.....	0

6.1 Do you act as a custodian for health savings accounts?..... Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$

6.3 Do you act as an administrator for health savings accounts?..... Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date. Explanation for Question 1:
Gateway Insurance Company became a member of the pool effective January 1, 2013. The Company's participation changed from 70% to 50%.

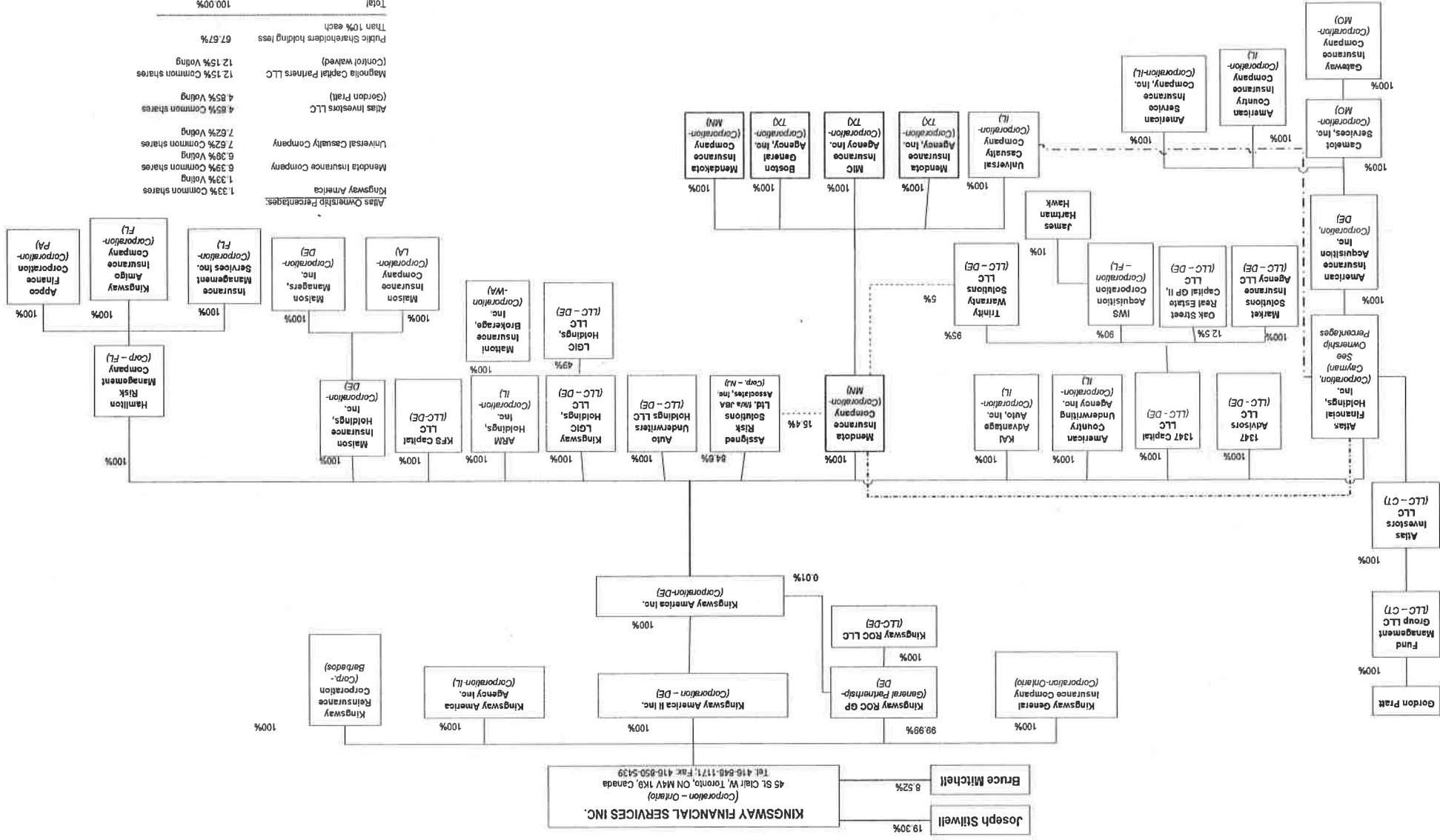
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

States, etc.		Current Year to Date - Allocated by States and Territories			Direct Losses Paid (Deducting Salvage)			Direct Losses Unpaid	
		1	2	3	4	5	6	7	
		Active Status	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	
1. Alabama	AL	L	374,575	77,781	1,052	13,692	158,741	103,119	
2. Alaska	AK	L							
3. Arizona	AZ	L	770,548	115,855	29,915	7,020	288,683	37,486	
4. Arkansas	AR	L	235,351	209,857	48,959	6,978	116,452	13,772	
5. California	CA	N							
6. Colorado	CO	L	302,595	171,009	25,987	9,955	205,338	27,850	
7. Connecticut	CT	N							
8. Delaware	DE	L							
9. Dist. Columbia	DC	L							
10. Florida	FL	L			15,307	109,399	9,868	18,412	
11. Georgia	GA	L	2,229,653	810,665	210,540	6,103	720,756	253,666	
12. Hawaii	HI	L							
13. Idaho	ID	L	74,042	72,573	12,468	9,310	57,447	4,211	
14. Illinois	IL	L	7,585,142	7,219,360	6,259,757	11,698,976	15,178,053	21,865,924	
15. Indiana	IN	L	421,413	130,647	605,168	1,355,966	2,333,874	2,507,199	
16. Iowa	IA	L							
17. Kansas	KS	L	483,418	50,950	57,798		119,117	6,563	
18. Kentucky	KY	L							
19. Louisiana	LA	L	4,179,748	2,739,958	1,202,659	686,075	1,933,566	1,547,598	
20. Maine	ME	N							
21. Maryland	MD	L	543,485	512,311	60,217	13,537	199,806	69,062	
22. Massachusetts	MA	L							
23. Michigan	MI	L							
24. Minnesota	MN	L							
25. Mississippi	MS	L	438,569	126,792	84,603	32,069	146,851	157,627	
26. Missouri	MO	L	1,111,555	958,527	489,903	426,383	403,899	381,468	
27. Montana	MT	L							
28. Nebraska	NE	L							
29. Nevada	NV	L							
30. New Hampshire	NH	N							
31. New Jersey	NJ	L	(145,990)	114,042	75,475	39,701	179,488	306,477	
32. New Mexico	NM	L	(172,146)	17,178	3,345		66,719	402	
33. New York	NY	L							
34. No. Carolina	NC	L							
35. No. Dakota	ND	L							
36. Ohio	OH	L	1,392,168	697,728	425,549	544,945	1,861,292	1,966,457	
37. Oklahoma	OK	L				(76)	48,145	6,769	
38. Oregon	OR	L	237,218	46,003	12,021				
39. Pennsylvania	PA	L							
40. Rhode Island	RI	N							
41. So. Carolina	SC	L	1,071,311	385,343	183,245	115,296	428,394	225,878	
42. So. Dakota	SD	L							
43. Tennessee	TN	L	558,072	293,446	207,229	7,147	189,721	69,008	
44. Texas	TX	L			25,030	43,826	112,471	101,363	
45. Utah	UT	L	26,998	24,194			9,719	4,619	
46. Vermont	VT	L							
47. Virginia	VA	L	1,864,934	1,138,274	596,564	1,507,094	1,299,219	1,762,252	
48. Washington	WA	L							
49. West Virginia	WV	L							
50. Wisconsin	WI	N							
51. Wyoming	WY	L							
52. American Samoa	AS	N							
53. Guam	GU	N							
54. Puerto Rico	PR	N							
55. U.S. Virgin Islands	VI	N							
56. Northern Mariana Islands	MP	N							
57. Canada	CAN	N							
58. Aggregate Other Alien	OT	XXX			114,271	24,398	345,494	485,135	
59. Totals	(a)	45	23,582,659	15,912,493	10,747,062	16,657,794	26,413,113	31,922,317	
DETAILS OF WRITE-INS									
58001. MEX Mexico		XXX			114,271	24,398	345,494	485,135	
58002.		XXX							
58003.		XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX							
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)		XXX			114,271	24,398	345,494	485,135	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE American Service Insurance Company, Inc.



SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	98-0475673			New York Stock Exchange, Inc.	Kingsway Financial Services, Inc.	CAN	UIP	Joseph Stillwell	Ownership	19.3	Joseph Stillwell	
00000		00000	98-0475673			New York Stock Exchange, Inc.	Kingsway Financial Services, Inc.	CAN	UIP	Bruce Mitchell	Ownership	8.5	Bruce Mitchell	
00000		00000	98-0475673			New York Stock Exchange, Inc.	Kingsway General Insurance Company	CAN	IA	Kingsway Financial Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	98-0460242				Kingsway ROC GP	DE	NIA	Kingsway Financial Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	36-4280052				Kingsway America II, Inc.	DE	UIP	Kingsway Financial Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	36-4201541				Kingsway America Agency, Inc.	IL	NIA	Kingsway Financial Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	98-0622417				Kingsway Reinsurance Corporation	BRB	IA	Kingsway Financial Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	98-0460242				Kingsway ROC LLC	DE	NIA	Kingsway ROC GP	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	98-0180930				Kingsway America, Inc.	DE	UIP	Kingsway America II, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	28-4834906				KFS Capital, LLC	DE	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	27-5466079				Atlas Financial Holdings, Inc.	CYM	UIP	Kingsway America Inc.	Ownership	1.3	Kingsway Financial Services, Inc.	
00000		00000	27-5466079				Atlas Financial Holdings, Inc.	CYM	UIP	Mendota Insurance Company	Ownership	6.4	Kingsway Financial Services, Inc.	
00000		00000	27-5466079				Atlas Financial Holdings, Inc.	CYM	UIP	Universal Casualty Company	Ownership	7.6	Kingsway Financial Services, Inc.	
00000		00000	27-5466079				Atlas Financial Holdings, Inc.	CYM	UIP	Atlas Investors, LLC	Ownership	4.8	Gordon Pratt	
00000		00000	27-5466079				Atlas Financial Holdings, Inc.	CYM	UIP	Magnolia Capital Partners LLC	Ownership	12.2	Magnolia Capital Partners LLC	
00000		00000	27-3539769				American Insurance Acquisition, Inc.	DE	UDP	Atlas Financial Holdings, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Exchange if Securities Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
01326	Kingsway America Incorporated	38237	36-4168532				American Country Insurance Company	IL	IA	American Insurance Acquisition, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	42897	36-3223936				American Service Insurance Company, Inc.	IL		American Insurance Acquisition, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	43-1227293				Camelot Services, Inc.	MO	NIA	American Insurance Acquisition, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	28339	43-0762309				Gateway Insurance Company	MO	IA	Camelot Services, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-3022675				1347 Advisors LLC	DE	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-3649017				1347 Capital LLC	DE	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-4281618				IWS Acquisition Corporation	FL	NIA	1347 Capital LLC	Ownership	90.0	Kingsway Financial Services, Inc.	
00000		00000	45-4281618				IWS Acquisition Corporation	FL	NIA	1347 Capital LLC	Ownership	10.0	Kingsway Financial Services, Inc.	
00000		00000	45-4281618				Oak Street Real Estate Capital GP II, LLC	DE	NIA	James Hartman Hawk	Ownership	12.5	Kingsway Financial Services, Inc.	
00000		00000	90-0893546				Market Solutions Insurance Agency LLC	DE	NIA	1347 Capital LLC	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	77-0687644				ARM Holdings, Inc.	IL	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	13-4265999				Malloni Insurance Brokerage, Inc.	WA	NIA	ARM Holdings, Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-3022851				Kingsway LGIC Holdings, LLC	DE	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	32-0354482				LGIC Holdings, LLC	DE	NIA	Kingsway LGIC Holdings, LLC	Ownership	49.0	Kingsway Financial Services, Inc.	
00000		00000	36-3954373				KAI Advantage Auto, Inc.	IL	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	36-4414451				American Country Underwriting Agency Inc.	IL	NIA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership, Provide Percentage	Ultimate Controlling Entity(ies)/ Person(s)	*
00000		00000	45-3022790				Auto Underwriters Holdings, LLC	DE	N/A	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	13-3800128				Assigned Risk Solutions LTD	NJ	N/A	Kingsway America Inc.	Ownership	84.6	Kingsway Financial Services, Inc.	
00000		00000	13-3800128				Assigned Risk Solutions LTD	NJ	N/A	Mendota Insurance Company	Ownership	15.4	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	33650	41-1639286				Mendota Insurance Company	MN	IA	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	22454	31-1160863				Mendakota Insurance Company	MN	IA	Mendota Insurance Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	74-2482293				Mendota Insurance Agency, Inc.	TX	N/A	Mendota Insurance Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-4025393				MIC Insurance Agency Inc	TX	N/A	Mendota Insurance Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	45-2492794				Boston General Agency, Inc.	TX	N/A	Mendota Insurance Company	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	42862	36-2126444				Universal Casualty Company	IL	IA	Mendota Insurance Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	65-0333519				Hamilton Risk Management Company	FL	N/A	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	59-2572605				Insurance Management Services Inc	FL	N/A	Hamilton Risk Management Company	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	21300	59-2572080				Kingsway Amigo Insurance Company	FL	IA	Hamilton Risk Management Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	23-2552034				Appco Finance Corp	PA	N/A	Hamilton Risk Management Company	Ownership	100.0	Kingsway Financial Services, Inc.	
00000		00000	46-1119100				Maison Insurance Holdings, Inc	DE	N/A	Kingsway America Inc.	Ownership	100.0	Kingsway Financial Services, Inc.	
01326	Kingsway America Incorporated	14568	46-1168622				Maison Insurance Company	LA	IA	Maison Insurance Holdings, Inc	Ownership	100.0	Kingsway Financial Services, Inc.	

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	11	Type of Control (Ownership, Board, Attorney-in-Fact, Influence, Other)	12	13	14	15
			00000	46-1126984				Maison Managers, Inc.	DE	N/A	Maison Insurance Holdings, Inc.	Ownership	100.0	Financial Services, Inc.		*
			00000	46-2684678				Trinity Warranty Solutions LLC	DE	N/A	1347 Capital LLC	Ownership	95.0	Financial Services, Inc.		
			00000	46-2684678				Trinity Warranty Solutions LLC	DE	N/A	Mendota Insurance Company	Ownership	5.0	Financial Services, Inc.		

[illegible]

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire					
2. Allied lines					
3. Farmowners multiple peril					
4. Homeowners multiple peril					
5. Commercial multiple peril					
6. Mortgage guaranty					
8. Ocean marine					
9. Inland marine					
10. Financial guaranty					
11.1 Medical professional liability -occurrence-					
11.2 Medical professional liability -claims made					
12. Earthquake					
13. Group accident and health					
14. Credit accident and health					
15. Other accident and health					
16. Workers' compensation					
17.1 Other liability occurrence		363,534	147,901	40.7	58.8
17.2 Other liability-claims made					
17.3 Excess Workers' Compensation					
18.1 Products liability-occurrence					
18.2 Products liability-claims made					
19.1,19.2 Private passenger auto liability		229	635,334	277,523.1	(99.3)
19.3,19.4 Commercial auto liability		13,843,745	5,792,608	41.8	86.8
21. Auto physical damage		1,284,652	554,297	43.1	56.5
22. Aircraft (all perils)					
23. Fidelity					
24. Surety		3,360,847	1,667,999	49.9	38.7
26. Burglary and theft					
27. Boiler and machinery					
28. Credit					
29. International					
30. Warranty					
31. Reinsurance - Nonproportional Assumed Property		XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability		XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines		XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business					
35. TOTALS		18,873,007	8,818,138	46.7	43.9
DETAILS OF WRITE-INS					
3401.					
3402.					
3403.					
3498.	Sum. of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire				
2. Allied lines				
3. Farmowners multiple peril				
4. Homeowners multiple peril				
5. Commercial multiple peril				
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine				
10. Financial guaranty				
11.1 Medical professional liability-occurrence				
11.2 Medical professional liability-claims made				
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health				
16. Workers' compensation				
17.1 Other liability occurrence		156,919	452,963	253,577
17.2 Other liability-claims made				
17.3 Excess Workers' Compensation				
18.1 Products liability-occurrence				
18.2 Products liability-claims made				
19.1,19.2 Private passenger auto liability				
19.3,19.4 Commercial auto liability		6,596,571	(138) 18,125,808	(307,030) 11,659,352
21. Auto physical damage		556,354	1,793,257	496,610
22. Aircraft (all perils)				
23. Fidelity				
24. Surety		1,173,508	3,210,769	3,809,984
26. Burglary and theft				
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance - Nonproportional Assumed Property		XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability		XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines		XXX	XXX	XXX
34. Aggregate write-ins for other lines of business				
35. TOTALS		8,483,352	23,582,659	15,912,493
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498.	Sum. of remaining write-ins for Line 34 from overflow page			
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)			

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

1	Prior Year-End Known Case Loss and LAE Reserves	22,892	5,194	3,050	1,753	24,645	10,448	734	11,182	11,644	1,294	1,565	14,503	6,980	(567)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0
2	Prior Year-End IBNR Loss and LAE Reserves	1,753	3,050	8,244	(560)	245	(315)	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 12, Line 7 As % of Col. 2, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0
3	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	24,645	8,244	(560)	245	(315)	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 13, Line 7 As % of Col. 3, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	
4	2013 Loss and LAE Payments on Claims Reported as of Prior Year-End	10,448	245	(560)	245	(315)	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	
5	2013 Loss and LAE Payments on Unreported Claims as of Prior Year-End	734	245	(560)	245	(315)	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	
6	Total 2013 Loss Payments and LAE (Cols. 4 + 5)	11,182	(315)	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	XXX	14	Col. 12, Line 7 As % of Col. 2, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0		
7	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	11,644	5,187	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0			
8	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	1,294	577	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0				
9	Q.S. Date IBNR Loss and LAE Reserves	1,565	1,216	2,781	21,483	11,066	2,798	(1,367)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0					
10	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	14,503	6,980	(567)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	Col. 12, Line 7 As % of Col. 2, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0						
11	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	(800)	(567)	(800)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	Col. 12, Line 7 As % of Col. 2, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0							
12	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	1,840	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0															
13	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)	1,040	(1,579)	828	(539)	553	14	XXX	14	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0															
14	8. Surplus As Regards Policy-holders Prior Year-End Totals	36,252	36,252	8,166	4,016	12,182	32,889	9,888	11,073	1,463	4,172	5,635	16,708	26,610	2,957	7,314	10,271	7,793	4,811	12,125	44,674	1,431	1,417	Col. 11, Line 7 As % of Col. 1, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	
15	5. Subtotals 2012 + prior	36,252	8,166	4,016	12,182	32,889	9,888	11,073	1,463	4,172	5,635	16,708	26,610	2,957	7,314	10,271	7,793	4,811	12,125	44,674	1,431	1,417	Col. 12, Line 7 As % of Col. 2, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0		
16	6. 2013	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	Col. 13, Line 7 As % of Col. 3, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	
17	7. Totals	36,252	36,252	8,166	4,016	12,182	32,889	9,888	11,073	1,463	4,172	5,635	16,708	26,610	2,957	7,314	10,271	7,793	4,811	12,125	44,674	1,431	1,417	Col. 13, Line 7 As % of Col. 3, Line 7	3.9	2. (16.1)	Col. 13, Line 7 0.0	4. 0.0	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.
2.
3.
4.

Bar Code:

1.

428972013490003

2.

4289720134550003

3.

4289720133650003

4.

4289720135050003

OVERFLOW PAGE FOR WRITE-INS

PQ002 Additional Aggregate Lines for Page 02 Line 25.

*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
2504. State income tax receivable.....	516,128	516,128		40,000
2505. Prepaid expense.....	421,502	421,502		
2506. Other receivables - property tax.....	50,665	50,665		
2507. Other assets - security deposit.....	5,000	5,000		
2508. Bail bond deposit - Cook County, IL.....	993,296	993,296		40,000
2597. Summary of remaining write-ins for Line 25 from Page 02				

PQ004 Additional Aggregate Lines for Page 04 Line 14.

*STMTINCOME

	1	2	3
	Current Year to Date	Prior Year to Date	Prior Year Ended December 31
1404. Fines and penalties.....	(2,126)	(6,294)	(7,892)
1405.....			
1497. Summary of remaining write-ins for Line 14 from Page 04	(2,126)	(6,294)	(7,892)

SCHEDULE A – VERIFICATION

Real Estate	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	165,947	13,633,735
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		(356,110)
2.2 Additional investment made after acquisition		213,395
3. Current year change in encumbrances		13,325,073
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	165,947	165,947
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8)	165,947	
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	165,947	165,947

SCHEDULE B – VERIFICATION

Mortgage Loans	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:	2,009,826	2,000,000
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	(9,826)	9,826
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized	876	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,999,124	2,009,826
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	1,999,124	2,009,826

SCHEDULE D – VERIFICATION

Bonds and Stocks	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	76,047,783	76,407,852
2. Cost of bonds and stocks acquired	50,791,471	43,561,455
3. Accrual of discount	16,217	26,299
4. Unrealized valuation increase (decrease)	(144,474)	428,916
5. Total gain (loss) on disposals	1,815,366	1,181,712
6. Deduct consideration for bonds and stocks disposed of	58,088,882	44,830,373
7. Deduct amortization of premium	529,253	728,078
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	69,908,228	76,047,783
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	69,908,228	76,047,783

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS	1. Class 1 (a).....	68,837,414	9,629,524	(184,722)	63,734,289	68,837,414	68,716,244	67,067,558
	2. Class 2 (a).....	5,843,904	79,882	(6,755)	10,765,315	5,843,904	5,917,031	11,203,874
	3. Class 3 (a).....							
	4. Class 4 (a).....							
	5. Class 5 (a).....							
	6. Class 6 (a).....							
	7. Total Bonds	74,681,318	9,709,406	(191,477)	74,499,604	74,681,318	74,633,275	78,271,432
PREFERRED STOCK	8. Class 1.....							
	9. Class 2.....							
	10. Class 3.....							
	11. Class 4.....							
	12. Class 5.....							
	13. Class 6.....							
	14. Total Preferred Stock.....							
15. Total Bonds & Preferred Stock		74,681,318	9,709,406	(191,477)	74,499,604	74,681,318	74,633,275	78,271,432

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$: NAIC 2 \$: NAIC 3 \$: NAIC 4 \$: NAIC 5 \$: NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	5,157,552	XXX	5,195,407	27,784	16,331

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,795,063	11,287,677
2. Cost of short-term investments acquired	28,888,294	39,316,715
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	27,487,950	46,809,329
7. Deduct amortization of premium	37,855	
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	5,157,552	3,795,063
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	5,157,552	3,795,063

Schedule DB - Part A - Verification
NONE

Schedule DB - Part B - Verification
NONE

Schedule DB - Part C - Section 1
NONE

Schedule DB - Part C - Section 2
NONE

Schedule DB - Verification
NONE

SCHEDULE E - VERIFICATION
(Cash Equivalents)

	<div><div>1</div><div>Year To</div><div>Date</div></div>	<div><div>2</div><div>Prior Year</div><div>Ended December 31</div></div>
1. Book/adjusted carrying value, December 31 of prior year.....		
2. Cost of cash equivalents acquired	475,955	
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	475,000	
7. Deduct amortization of premium955	
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule A - Part 2
NONE

Schedule A - Part 3
NONE

Schedule B - Part 2
NONE

Schedule B - Part 3
NONE

Schedule BA - Part 2
NONE

Schedule BA - Part 3
NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	Designation or NAIC Market Indicator ^(a)
Bonds - U.S. Governments									
Bonds - All Other Governments									
Bonds - U.S. States, Territories and Possessions									
Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
235219-JS-2	DALLAS TX		07/10/2013	RAMIREZ		500,000	500,000		TFE
2499999 - Bonds	U.S. Political Subdivisions of States, Territories and Possessions					500,000	500,000		XXX
Bonds - U.S. Special Revenue									
3135AE-GM-3	FNA 2013-M7 AS02		07/18/2013	RBS GREENWICH CAPITAL	991,094	1,000,000	1,000,000	754	TFE
3138EO-SE-0	FN A17716		07/16/2013	CRT GOVERNMENT SECURITIES	1,024,358	994,824	994,824	1,409	TFE
3138M7-PV-1	FN AP4035		07/15/2013	CRT GOVERNMENT SECURITIES	661,644	642,763	642,763	911	TFE
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Government and Their Political Subdivisions									
					2,677,096	2,637,587	2,637,587	3,074	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
254683-AW-5	DECENT 2012-A4 A4		07/22/2013	WELLS FARGO	1,001,914	1,000,000	1,000,000	156	TFE
268841-AK-8	ERAC USA FINANCE LLC		07/18/2013	RBS GREENWICH CAPITAL	79,882	80,000	80,000		2FE
437076-BB-7	HOME DEPOT INC		09/03/2013	BANK OF AMERICA	329,535	330,000	330,000		TFE
92936C-AJ-8	WFRBS 2011-C4 A4		07/17/2013	CREDIT SUISSE FIRST BOSTON	438,875	400,000	400,000	1,144	TFE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)									
					1,850,206	1,810,000	1,810,000	1,300	XXX
Bonds - Parent, Subsidiaries, and Affiliates									
8399997 - Subtotals- Bonds - Part 3						5,027,302	4,947,587	4,374	XXX
8399999 - Subtotals - Bonds						5,027,302	4,947,587	4,374	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)									
Common Stocks - Parent, Subsidiaries, and Affiliates									
Common Stocks - Industrial and Miscellaneous									
Common Stocks - Parent, Subsidiaries, and Affiliates									
Common Stocks - Mutual Funds									
Common Stocks - Money Market Mutual Funds									
9999999 Totals									
						5,027,302	XXX	4,374	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

	8	9	10
Change in Book/Adjusted Carrying Value			

[illegible]

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident- ification	Description	g l e r o F	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's Accretion (Amortization)/	Current Year's Other Than Recognized Impairment	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Dividends Received During Year	Stated Contractual Maturity Date	NAIC Design- ation or Market Indicator (a)

Common Stocks - Parent, Subsidiaries, and Affiliates																					
Common Stock - Mutual Funds																					
Common Stocks - Money Market Mutual Funds																					
9999999 Totals																					
							3,416,682	XXX	3,555,982	2,965,848		(34,598)	(34,598)		3,416,682				83,808	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1
NONE

Schedule DB - Part B - Section 1
NONE

Schedule DB - Part D - Section 1
NONE

Schedule DB - Part D - Section 2
NONE

Schedule DL - Part 1
NONE

Schedule DL - Part 2
NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

SHOW INVESTMENTS OTHER END OF CYCLE (LEAVE)															
1	Description	2	Code	3	Date Acquired	4	Rate of Interest	5	Maturity Date	6	Book/Adjusted Carrying Value	7	Amount of Interest Due & Accrued	8	Amount Received During Year
NONE															
8699999 Total Cash Equivalents															