



PROPERTY AND CASUALTY COMPANIES—ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018
OF THE CONDITION AND AFFAIRS OF THE

American Service Insurance Company, Inc.

NAIC Group Code 04795 (Current Period) , 04795 (Prior Period) NAIC Company Code 42897 Employer's ID Number 36-3223936

Organized under the Laws of Illinois , State of Domicile or Port of Entry Illinois

Country of Domicile United States

Incorporated/Organized 03/11/1983 Commenced Business 04/18/1983

Statutory Home Office 953 American Lane, 3rd Floor (Street and Number) , Schaumburg, IL, US 60173 (City or Town, State, Country and Zip Code)

Main Administrative Office 953 American Lane, 3rd Floor (Street and Number) , Schaumburg, IL, US 60173 (City or Town, State, Country and Zip Code) 847-472-6700 (Area Code) (Telephone Number)

Mail Address 953 American Lane, 3rd Floor (Street and Number or P.O. Box) , Schaumburg, IL, US 60173 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 953 American Lane, 3rd Floor (Street and Number) , Schaumburg, IL, US 60173 (City or Town, State, Country and Zip Code) 847-700-8603 (Area Code) (Telephone Number)

Internet Web Site Address www.atlas-fin.com

Statutory Statement Contact Paul Anthony Romano (Name) 847-700-8603 (Area Code) (Telephone Number) (Extension) 847-264-2716 (Fax Number)

promano@atlas-fin.com (E-Mail Address)

OFFICERS

Name	Title	Name	Title
Scott David Wollney	President, CEO	Paul Anthony Romano	VP Finance, CFO, Treasurer
Leslie Patterson DiMaggio	Secretary, VP Operations & IT		

OTHER OFFICERS

Bruce Wayne Giles	VP Product Development & Underwriting	Joseph Raymond Shugrue	VP Claims
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DIRECTORS OR TRUSTEES

Leslie Patterson DiMaggio	Bruce Wayne Giles	Paul Anthony Romano	Joseph Raymond Shugrue
Scott David Wollney			

State of Illinois

County of Cook ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Scott David Wollney
President, CEO

Paul Anthony Romano
VP Finance, CFO, Treasurer

Leslie Patterson DiMaggio
Secretary, VP Operations & IT

Subscribed and sworn to before me this 9th day of November, 2018

Louis Charouhis, Sr. Staff Accountant
March 1, 2019

- a. Is this an original filing? Yes [X] No []
- b. If no:
1. State the amendment number
 2. Date filed
 3. Number of pages attached



ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	40,044,057		40,044,057	59,986,649
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	6,030,266		6,030,266	8,446,399
3. Mortgage loans on real estate:				
3.1 First liens	4,160,384		4,160,384	4,300,000
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)	34,000	34,000	0	0
5. Cash (\$18,789,020), cash equivalents (\$463,209) and short-term investments (\$3,823,684)	23,075,913		23,075,913	15,877,294
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	45,930,718		45,930,718	20,796,409
9. Receivables for securities	1,455,740		1,455,740	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	120,731,078	34,000	120,697,078	109,406,751
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	289,990		289,990	576,060
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,417,153	1,002,588	2,414,565	919,369
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	53,392,301		53,392,301	37,522,140
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	16,251,104		16,251,104	16,563,922
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	7,934,285		7,934,285	7,657,302
18.2 Net deferred tax asset	9,699,960	3,325,326	6,374,634	2,702,223
19. Guaranty funds receivable or on deposit	239		239	190
20. Electronic data processing equipment and software	669,918	669,918	0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	16,069,822	14,525,461	1,544,361	2,554,303
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other-than-invested assets	605,289	355,687	249,602	9,465,794
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	229,061,139	19,912,980	209,148,159	187,368,054
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	229,061,139	19,912,980	209,148,159	187,368,054
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Other receivables - deductibles	276,437	126,437	150,000	150,000
2502. State income tax receivable	59,115		59,115	56,142
2503. Receivable from pools	40,487		40,487	6,046
2598. Summary of remaining write-ins for Line 25 from overflow page	229,250	229,250	0	9,253,606
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	605,289	355,687	249,602	9,465,794

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$18,028,508)	36,875,374	47,406,511
2. Reinsurance payable on paid losses and loss adjustment expenses	23,918,823	23,059,247
3. Loss adjustment expenses	17,750,656	17,663,376
4. Commissions payable, contingent commissions and other similar charges	(169,317)	2,272,540
5. Other expenses (excluding taxes, licenses and fees)	657,952	678,256
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	1,213,203	976,099
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		0
7.2 Net deferred tax liability		0
8. Borrowed money \$ and interest thereon \$		0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$57,833,217 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	48,927,204	47,365,974
10. Advance premium		0
11. Dividends declared and unpaid:		
11.1 Stockholders		0
11.2 Policyholders		0
12. Ceded reinsurance premiums payable (net of ceding commissions)	23,958,160	17,043,520
13. Funds held by company under reinsurance treaties		0
14. Amounts withheld or retained by company for account of others	319,833	326,869
15. Remittances and items not allocated	(37,285)	36,385
16. Provision for reinsurance (including \$ certified)		0
17. Net adjustments in assets and liabilities due to foreign exchange rates		0
18. Drafts outstanding		0
19. Payable to parent, subsidiaries and affiliates		152,964
20. Derivatives	0	0
21. Payable for securities	3,697,040	0
22. Payable for securities lending		0
23. Liability for amounts held under uninsured plans		0
24. Capital notes \$ and interest thereon \$		0
25. Aggregate write-ins for liabilities	2,857,193	661,866
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	159,968,836	157,643,606
27. Protected cell liabilities		0
28. Total liabilities (Lines 26 and 27)	159,968,836	157,643,606
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	3,000,004	3,000,004
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	7,900,000	7,900,000
34. Gross paid in and contributed surplus	33,127,075	33,127,075
35. Unassigned funds (surplus)	5,152,244	(14,302,631)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		0
36.2 shares preferred (value included in Line 31 \$)		0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	49,179,323	29,724,448
38. Totals (Page 2, Line 28, Col. 3)	209,148,159	187,368,054
DETAILS OF WRITE-INS		
2501. Unearned ceding commission.....	2,683,493	482,900
2502. Escheatables.....	309,026	309,988
2503. Miscellaneous payable.....	15,160	13,134
2598. Summary of remaining write-ins for Line 25 from overflow page	(150,486)	(144,156)
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	2,857,193	661,866
2901.		0
2902.		0
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

STATEMENT OF INCOME

	1	2	3
	Current Year to Date	Prior Year to Date	Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 37,281,821)	37,964,285	37,471,007	50,229,038
1.2 Assumed (written \$ 119,423,996)	108,466,268	98,768,808	135,536,115
1.3 Ceded (written \$ 86,764,222)	78,050,187	71,538,570	97,308,184
1.4 Net (written \$ 69,941,595)	68,380,366	64,701,245	88,456,969
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 28,549,887):			
2.1 Direct	14,361,203	13,461,464	32,679,978
2.2 Assumed	44,843,129	38,955,637	95,005,310
2.3 Ceded	30,393,215	26,671,434	65,726,188
2.4 Net	28,811,117	25,745,667	61,959,100
3. Loss adjustment expenses incurred	12,219,554	12,669,821	22,120,316
4. Other underwriting expenses incurred	17,274,036	17,391,812	23,118,935
5. Aggregate write-ins for underwriting deductions	43,969	34,752	42,297
6. Total underwriting deductions (Lines 2 through 5)	58,348,676	55,842,052	107,240,649
7. Net income of protected cells		0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	10,031,690	8,859,194	(18,783,679)
INVESTMENT INCOME			
9. Net investment income earned	800,458	858,653	1,065,576
10. Net realized capital gains (losses) less capital gains tax of \$ 124,060	409,821	541,469	535,935
11. Net investment gain (loss) (Lines 9 + 10)	1,210,279	1,400,122	1,601,511
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 1,523 amount charged off \$ 200,292)	(198,769)	(517,104)	(646,602)
13. Finance and service charges not included in premiums	145,148	158,082	211,835
14. Aggregate write-ins for miscellaneous income	(27,206)	(14,227)	(365,520)
15. Total other income (Lines 12 through 14)	(80,827)	(373,248)	(800,287)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	11,161,142	9,886,067	(17,982,455)
17. Dividends to policyholders		0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	11,161,142	9,886,067	(17,982,455)
19. Federal and foreign income taxes incurred	(401,042)	2,580,645	(2,826,692)
20. Net income (Line 18 minus Line 19)(to Line 22)	11,562,184	7,305,422	(15,155,763)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	29,724,448	50,476,049	50,476,049
22. Net income (from Line 20)	11,562,184	7,305,422	(15,155,763)
23. Net transfers (to) from Protected Cell accounts		0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 89,529	8,121,435	23,785	895,927
25. Change in net unrealized foreign exchange capital gain (loss)		0	0
26. Change in net deferred income tax	(2,339,902)	(1,901,536)	(937,611)
27. Change in nonadmitted assets	4,611,158	4,801,607	(14,791,124)
28. Change in provision for reinsurance		0	0
29. Change in surplus notes		0	0
30. Surplus (contributed to) withdrawn from protected cells		0	0
31. Cumulative effect of changes in accounting principles		0	0
32. Capital changes:			
32.1 Paid in		0	0
32.2 Transferred from surplus (Stock Dividend)		0	0
32.3 Transferred to surplus		0	0
33. Surplus adjustments:			
33.1 Paid in		0	9,253,606
33.2 Transferred to capital (Stock Dividend)		0	0
33.3 Transferred from capital		0	0
34. Net remittances from or (to) Home Office		0	0
35. Dividends to stockholders	(2,500,000)	0	0
36. Change in treasury stock		0	0
37. Aggregate write-ins for gains and losses in surplus	0	(16,636)	(16,636)
38. Change in surplus as regards policyholders (Lines 22 through 37)	19,454,875	10,212,642	(20,751,601)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	49,179,323	60,688,691	29,724,448
DETAILS OF WRITE-INS			
0501. CLAD Fees	39,246	(6,714)	(6,714)
0502. Premium deficiency expense	4,723	41,465	49,011
0503.		0	0
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	43,969	34,752	42,297
1401. Retroactive reinsurance income/(expense)	4,195	11,215	(329,840)
1402. Miscellaneous income	(1,124)	933	(8,304)
1403. Fines & Penalties	(30,277)	(26,375)	(27,376)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(27,206)	(14,227)	(365,520)
3701. Change in deferred gain on real estate		(16,636)	(16,636)
3702.		0	0
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	0	(16,636)	(16,636)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	59,520,426	73,643,055	91,695,220
2. Net investment income	1,274,851	1,172,481	1,480,329
3. Miscellaneous income	(80,824)	(373,248)	(800,287)
4. Total (Lines 1 to 3)	60,714,452	74,442,287	92,375,262
5. Benefit and loss related payments	47,990,958	32,560,271	42,397,502
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	21,623,882	28,103,146	36,195,291
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	500,000
10. Total (Lines 5 through 9)	69,614,841	60,663,417	79,092,793
11. Net cash from operations (Line 4 minus Line 10)	(8,900,389)	13,778,870	13,282,469
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	26,095,572	8,975,922	19,033,883
12.2 Stocks	5,373,253	5,597,265	6,161,062
12.3 Mortgage loans	139,616	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	2,603,511	2,133,435	4,809,254
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	2,241,300	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	36,453,252	16,706,621	30,004,199
13. Cost of investments acquired (long-term only):			
13.1 Bonds	6,605,800	13,844,046	14,591,689
13.2 Stocks	2,350,000	4,850,000	7,900,000
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	10,080,000	562,097	562,097
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	19,035,800	19,256,143	23,053,786
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	17,417,452	(2,549,522)	6,950,413
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	9,253,606
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	2,500,000	0	0
16.6 Other cash provided (applied).....	1,181,554	(2,561,658)	(21,989,339)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(1,318,446)	(2,561,658)	(12,735,733)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	7,198,617	8,667,689	7,497,150
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	15,877,296	8,380,147	8,380,147
19.2 End of period (Line 18 plus Line 19.1)	23,075,913	17,047,836	15,877,296

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☒ No ☐
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
optOn Insurance Agency Inc. was formed. The new entity is a wholly owned subsidiary of UBI Holdings Inc.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001539894
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☐ No ☒ NA ☐

If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

04/25/2017
- 6.4

By what department or departments?
Illinois Department of Insurance.
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ NA ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []

9.11

If the response to 9.1 is No, please explain:
.....

9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes [X] No []

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$4,329,456

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2

If yes, give full and complete information relating thereto:
.....

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:\$5,225,561

13.

Amount of real estate and mortgages held in short-term investments:\$

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []

14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$4,300,000	\$4,160,384
14.26 All Other	\$	\$26,038,239
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$4,300,000	\$30,198,623
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []

If no, attach a description with this statement.

GENERAL INTERROGATORIES

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$.....0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$.....0

16.3 Total payable for securities lending reported on the liability page

\$.....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Asset Allocation & Management Company, LLC.....	5001 Kingsley Drive, Maildrop 1M0B2J, Cincinnati, OH 45227.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Asset Allocation & Management Company, LLC.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109875.....	Asset Allocation & Management.....		Securities And Exchange Commission.....	DS.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []

18.2 If no, list exceptions:
.....

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5*GI securities?..... Yes [] No [X]

GENERAL INTERROGATORIES
PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] NA []
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.
.....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent

5.2 A&H cost containment percent

5.3 A&H expense percent excluding cost containment expenses.....

6.1 Do you act as a custodian for health savings accounts?.....

6.2 If yes, please provide the amount of custodial funds held as of the reporting date.....

6.3 Do you act as an administrator for health savings accounts?.....

6.4 If yes, please provide the balance of the funds administered as of the reporting date.....

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
		NONE				

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

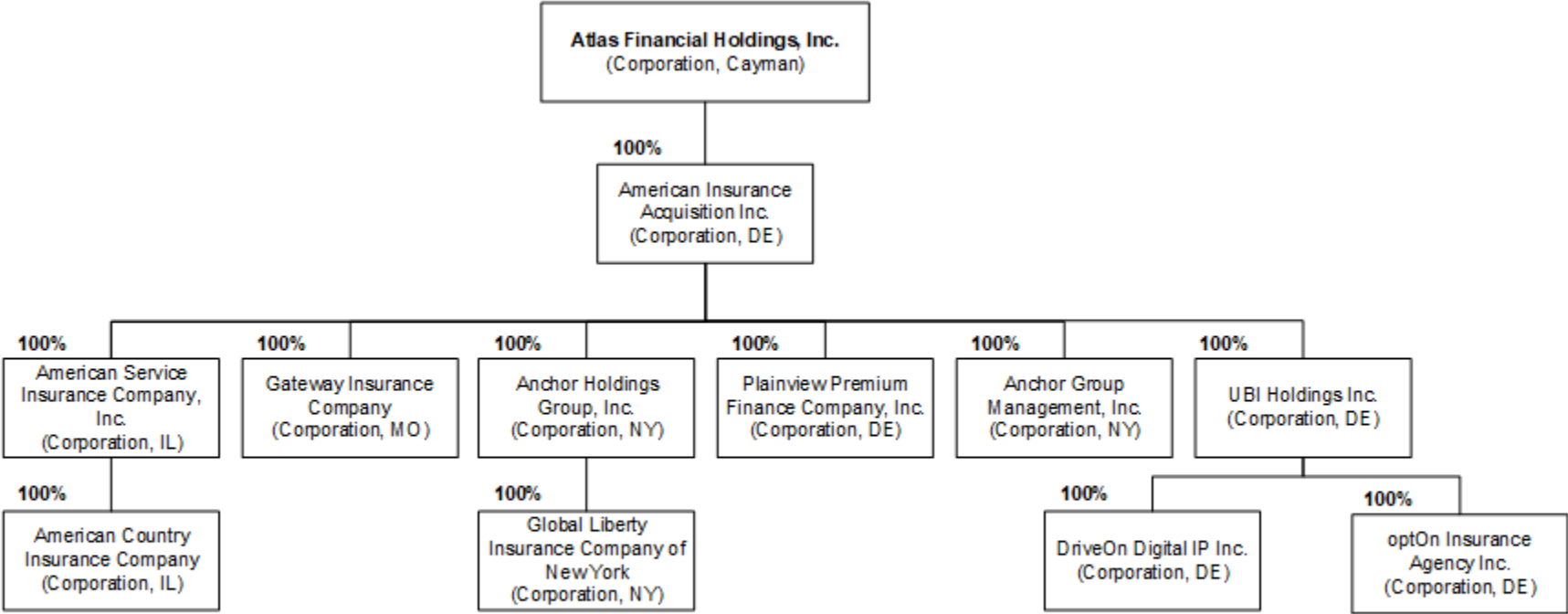
Current Year to Date – Allocated by States and Territories							
States, etc.	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2	3	4	5	6	7
	Active Status (a)	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date
1. Alabama	AL L	149,701	186,339	41,072	111,897	29,319	(24,444)
2. Alaska	AK L		0		0		0
3. Arizona	AZ L	232,178	356,229	154,235	1,404,550	70,109	(1,002,160)
4. Arkansas	AR L	184,131	160,245	46,418	188,991	10,351	(68,100)
5. California	CA N		0		0		0
6. Colorado	CO L	902,501	512,110	239,712	310,980	411,412	155,602
7. Connecticut	CT N		0		0		0
8. Delaware	DE L		0		0		0
9. Dist. Columbia	DC L		0		0		0
10. Florida	FL L		0	(240)	(360)	740	1,960
11. Georgia	GA L	3,366,705	2,830,677	1,821,028	2,594,621	1,713,161	460,452
12. Hawaii	HI L		0		0		0
13. Idaho	ID L	147,214	71,460	16,538	79,896	24,655	(33,755)
14. Illinois	IL L	6,683,779	7,424,798	4,179,546	2,674,835	2,273,185	2,706,257
15. Indiana	IN L	457,393	566,489	442,269	134,187	34,015	285,263
16. Iowa	IA L		0		0		0
17. Kansas	KS L	239,560	223,411	87,360	38,818	12,751	128,713
18. Kentucky	KY L		0		0		0
19. Louisiana	LA L	3,538,527	3,430,154	2,922,415	4,621,818	2,119,950	1,096,901
20. Maine	ME N		0		0		0
21. Maryland	MD L	270,869	232,258	185,869	130,617	48,224	14,341
22. Massachusetts	MA L		0		0		0
23. Michigan	MI L	593,209	1,668,918	529,201	1,004,644	962,759	351,402
24. Minnesota	MN L		0		0		0
25. Mississippi	MS L	778,934	423,181	265,261	459,285	254,072	(60,409)
26. Missouri	MO L	451,546	465,326	252,421	1,717,456	326,668	(813,129)
27. Montana	MT L		0		0		0
28. Nebraska	NE L	211,431	300,085	128,680	80,252	78,670	83,986
29. Nevada	NV L		0		0		0
30. New Hampshire	NH N		0		0		0
31. New Jersey	NJ L	1,733,627	3,755,807	650,513	331,643	1,371,351	1,131,507
32. New Mexico	NM L	121,936	183,750	29,143	96,181	468,846	20,492
33. New York	NY L	1,505,074	349,587	146,641	32,930	238,782	47,472
34. No. Carolina	NC L		0		0		0
35. No. Dakota	ND L		0		0		0
36. Ohio	OH L	4,930,136	5,279,801	1,918,134	2,461,244	2,836,020	1,678,162
37. Oklahoma	OK L		0		0		0
38. Oregon	OR L	186,872	154,105	288,753	618,257	316,750	(98,716)
39. Pennsylvania	PA L	578,741	370,191	88,284	46,375	194,750	99,874
40. Rhode Island	RI N		0		0		0
41. So. Carolina	SC L	508,243	880,864	1,006,235	650,556	671,362	1,073,899
42. So. Dakota	SD L		0		0		0
43. Tennessee	TN L	357,205	326,512	124,141	90,577	403,686	405,679
44. Texas	TX L		0	(48)	(133)	48	5,200
45. Utah	UT L	124,086	134,999	15,860	2,926	43,448	50,754
46. Vermont	VT L		0		0		0
47. Virginia	VA L	5,772,332	4,907,350	2,104,632	1,190,562	1,881,556	1,507,135
48. Washington	WA L	3,255,891	5,790,693	1,493,146	1,008,996	2,144,076	1,468,301
49. West Virginia	WV L		0		0		0
50. Wisconsin	WI N		0		0		0
51. Wyoming	WY L		0		0		0
52. American Samoa	AS N		0		0		0
53. Guam	GU N		0		0		0
54. Puerto Rico	PR N		0		0		0
55. U.S. Virgin Islands	VI N		0		0		0
56. Northern Mariana Islands	MP N		0		0		0
57. Canada	CAN N		0		0		0
58. Aggregate Other Alien	OT XXX	0	0	0	0	0	0
59. Totals	XXX	37,281,821	40,985,339	19,177,219	22,082,601	18,940,716	10,672,639
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG45 R – Registered – Non-domiciled RRGs0
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI)0 Q – Qualified – Qualified or accredited reinsurer0
D – Domestic Surplus Lines Insurer (DSLII) – Reporting entities authorized to write surplus lines in the state of domicile0 N – None of the above – Not allowed to write business in the state12

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

As At:
9/30/2018



Atlas Ownership Percentages

Ariel Investments, LLC (Control Waived)	13.6%
Public Shareholders Holding Less Than 10% Each	86.4%
Total	100%

12

[illegible][illegible]

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire			0.0	0.0
2.	Allied lines			0.0	0.0
3.	Farmowners multiple peril			0.0	0.0
4.	Homeowners multiple peril			0.0	0.0
5.	Commercial multiple peril			0.0	0.0
6.	Mortgage guaranty			0.0	0.0
8.	Ocean marine			0.0	0.0
9.	Inland marine			0.0	0.0
10.	Financial guaranty			0.0	0.0
11.1	Medical professional liability -occurrence			0.0	0.0
11.2	Medical professional liability -claims made			0.0	0.0
12.	Earthquake			0.0	0.0
13.	Group accident and health			0.0	0.0
14.	Credit accident and health			0.0	0.0
15.	Other accident and health			0.0	0.0
16.	Workers' compensation			0.0	0.0
17.1	Other liability occurrence	1,561,254	80,050	5.1	35.2
17.2	Other liability-claims made			0.0	0.0
17.3	Excess Workers' Compensation			0.0	0.0
18.1	Products liability-occurrence			0.0	0.0
18.2	Products liability-claims made			0.0	0.0
19.1,19.2	Private passenger auto liability			0.0	0.0
19.3,19.4	Commercial auto liability	32,227,518	12,124,213	37.6	33.6
21.	Auto physical damage	4,175,270	2,156,928	51.7	55.4
22.	Aircraft (all perils)			0.0	0.0
23.	Fidelity			0.0	0.0
24.	Surety	243	12	4.9	48.5
26.	Burglary and theft			0.0	0.0
27.	Boiler and machinery			0.0	0.0
28.	Credit			0.0	0.0
29.	International			0.0	0.0
30.	Warranty			0.0	0.0
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0.0	0.0
35.	TOTALS	37,964,285	14,361,203	37.8	35.9
DETAILS OF WRITE-INS					
3401.			0.0	0.0
3402.			0.0	0.0
3403.			0.0	0.0
3498.	Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire	0		0
2.	Allied lines	0		0
3.	Farmowners multiple peril	0		0
4.	Homeowners multiple peril	0		0
5.	Commercial multiple peril	0		0
6.	Mortgage guaranty	0		0
8.	Ocean marine	0		0
9.	Inland marine	0		0
10.	Financial guaranty	0		0
11.1	Medical professional liability-occurrence	0		0
11.2	Medical professional liability-claims made	0		0
12.	Earthquake	0		0
13.	Group accident and health	0		0
14.	Credit accident and health	0		0
15.	Other accident and health	0		0
16.	Workers' compensation	0		0
17.1	Other liability occurrence	509,340	1,650,004	1,494,389
17.2	Other liability-claims made	0		0
17.3	Excess Workers' Compensation	0		0
18.1	Products liability-occurrence	0		0
18.2	Products liability-claims made	0		0
19.1,19.2	Private passenger auto liability	0		0
19.3,19.4	Commercial auto liability	7,504,086	31,480,564	34,796,911
21.	Auto physical damage	924,037	4,151,010	4,693,842
22.	Aircraft (all perils)	0		0
23.	Fidelity	0		0
24.	Surety	216	243	197
26.	Burglary and theft	0		0
27.	Boiler and machinery	0		0
28.	Credit	0		0
29.	International	0		0
30.	Warranty	0		0
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0
35.	TOTALS	8,937,679	37,281,821	40,985,339
DETAILS OF WRITE-INS				
3401.	0		0
3402.	0		0
3403.	0		0
3498.	Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2015 + Prior	5,251	13,176	18,427	13,506	1,501	15,007	3,507	390	(540)	3,357	11,762	(11,825)	(63)
2. 2016	5,502	8,594	14,096	7,780	864	8,644	3,665	407	1,388	5,460	5,943	(5,935)	8
3. Subtotals 2016 + prior	10,753	21,770	32,523	21,286	2,365	23,651	7,172	797	848	8,817	17,705	(17,760)	(55)
4. 2017	11,243	21,305	32,548	12,851	1,428	14,279	8,791	977	8,879	18,647	10,399	(10,021)	378
5. Subtotals 2017 + prior	21,996	43,075	65,071	34,137	3,793	37,930	15,963	1,774	9,727	27,464	28,104	(27,781)	323
6. 2018	XXX	XXX	XXX	XXX	13,556	13,556	XXX	8,567	18,595	27,162	XXX	XXX	XXX
7. Totals	21,996	43,075	65,071	34,137	17,349	51,486	15,963	10,341	28,322	54,626	28,104	(27,781)	323
8. Prior Year-End Surplus As Regards Policy-holders	29,724										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 127.8	2. (64.5)	3. 0.5
											Col. 13, Line 7 Line 8		
											4. 1.1		

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.


	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....

Explanation:

1.
2.
3.
4.


Bar Code:

1.




42897201849000003

2.




42897201845500003

3.



42897201836500003

4.



42897201850500003

OVERFLOW PAGE FOR WRITE-INS

PQ002 Additional Aggregate Lines for Page 02 Line 25.
*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
2504. Prepaid expenses.....	210,331	210,331	0	0
2505. Deposits.....	18,919	18,919	0	0
2506. Contribution of affiliated stock from parent.....			0	9,253,606
2507.			0	0
2508.			0	0
2509.			0	0
2597. Summary of remaining write-ins for Line 25 from Page 02	229,250	229,250	0	9,253,606

PQ003 Additional Aggregate Lines for Page 03 Line 25.
*LIAB

	1	2
	Current Statement Date	December 31, Prior Year
2504. Premium deficiency reserve.....	14,136	19,445
2505. Retroactive reinsurance reserve assumed.....	(164,622)	(163,601)
2506.		0
2597. Summary of remaining write-ins for Line 25 from Page 03	(150,486)	(144,156)

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	34,000	34,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0
2.2 Additional investment made after acquisition0
3. Current year change in encumbrances0
4. Total gain (loss) on disposals0
5. Deduct amounts received on disposals0
6. Total foreign exchange change in book/adjusted carrying value0
7. Deduct current year's other-than-temporary impairment recognized0
8. Deduct current year's depreciation0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	34,000	34,000
10. Deduct total nonadmitted amounts	34,000	34,000
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	4,300,000	4,300,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0
2.2 Additional investment made after acquisition0
3. Capitalized deferred interest and other0
4. Accrual of discount0
5. Unrealized valuation increase (decrease)0
6. Total gain (loss) on disposals0
7. Deduct amounts received on disposals	139,616	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest0
10. Deduct current year's other-than-temporary impairment recognized0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	4,160,384	4,300,000
12. Total valuation allowance0
13. Subtotal (Line 11 plus Line 12)	4,160,384	4,300,000
14. Deduct total nonadmitted amounts0	.0
15. Statement value at end of current period (Line 13 minus Line 14)	4,160,384	4,300,000

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	20,796,409	24,217,686
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	19,125,606	.0
2.2 Additional investment made after acquisition	208,000	562,097
3. Capitalized deferred interest and other0
4. Accrual of discount0
5. Unrealized valuation increase (decrease)	8,348,166	819,969
6. Total gain (loss) on disposals	56,048	5,910
7. Deduct amounts received on disposals	2,603,511	4,809,254
8. Deduct amortization of premium and depreciation0
9. Total foreign exchange change in book/adjusted carrying value0
10. Deduct current year's other-than-temporary impairment recognized0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	45,930,718	20,796,409
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	45,930,718	20,796,409

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	68,433,048	70,977,749
2. Cost of bonds and stocks acquired	8,955,800	22,491,689
3. Accrual of discount	4,809	8,315
4. Unrealized valuation increase (decrease)	(137,201)	(342,620)
5. Total gain (loss) on disposals	477,833	870,534
6. Deduct consideration for bonds and stocks disposed of	31,468,825	25,194,945
7. Deduct amortization of premium	191,141	377,673
8. Total foreign exchange change in book/adjusted carrying value0
9. Deduct current year's other-than-temporary impairment recognized0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	46,074,323	68,433,048
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	46,074,323	68,433,048

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	33,691,240	7,385,270	3,218,994	(46,305)	34,899,283	33,691,240	37,811,211	47,016,940
2. NAIC 2 (a).....	5,805,996		75,000	(243,080)	7,696,550	5,805,996	5,487,916	12,868,761
3. NAIC 3 (a).....	416,677		100,338	252,280	411,591	416,677	568,619	100,948
4. NAIC 4 (a).....	0				0	0	0	0
5. NAIC 5 (a).....	0				0	0	0	0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	39,913,912	7,385,270	3,394,332	(37,105)	43,007,425	39,913,912	43,867,746	59,986,649
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	39,913,912	7,385,270	3,394,332	(37,105)	43,007,425	39,913,912	43,867,746	59,986,649

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$3,823,684 ; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	3,823,684	XXX	3,823,328		5,303

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	2,000,172
2. Cost of short-term investments acquired	3,823,328	0
3. Accrual of discount	356	0
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals		0
6. Deduct consideration received on disposals		2,000,172
7. Deduct amortization of premium.....		0
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other-than-temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	3,823,684	0
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	3,823,684	0

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

SCHEDULE E – PART 2 – VERIFICATION
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	8,629,569	0
2. Cost of cash equivalents acquired	11,380,342	8,629,994
3. Accrual of discount		0
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals.....		0
6. Deduct consideration received on disposals	19,546,702	425
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	463,209	8,629,569
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	463,209	8,629,569

EO1

NONE

NONE

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Real Estate - Unaffiliated																			
000000-00-0.....	Oak Street Real Estate Capital Fund III, LP.....	Chicago.....	IL.....	Oak Street Real Estate Capital Fund III.....	07/29/2015.....							0			15,383		15,383	15,383	
1799999 - Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Real Estate - Unaffiliated																			
							0	0	0	0	0	0	0	0	15,383	0	15,383	15,383	0
Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated																			
000000-00-0.....	Stiwell Activist Investments, L.P.....	New York.....	NY.....	Partial Return of Capital.....	01/30/2014.....	08/31/2018.....	187,842					0		187,842	187,842			0	
2199999 - Joint, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Other - Unaffiliated																			
							187,842	0	0	0	0	0	0	187,842	187,842	0	0	0	0
Collateral Loans - Unaffiliated																			
000000-00-0.....	Secured convertible promissory note.....	Mobile.....	AL.....	Parial payment.....	11/13/2015.....		345					0		345	345			0	
2599999 - Collateral Loans - Unaffiliated																			
							345	0	0	0	0	0	0	345	345	0	0	0	0
4499999 - Subtotals - Unaffiliated																			
							188,187	0	0	0	0	0	0	188,187	203,570	0	15,383	15,383	0
4599999 - Subtotals - Affiliated																			
							0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 Totals																			
							188,187	0	0	0	0	0	0	188,187	203,570	0	15,383	15,383	0

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E04

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
36202D-6F-3	G2 003570 - RMBS		09/01/2018	Paydown	XXX	933	932	1,084	1,063		(131)		(131)		932			.0	.32	06/20/2034	1
36204D-HE-2	GN 366629 - RMBS		08/01/2018	VARIOUS	XXX	1,244	1,148	1,268	1,266		(11)		(11)		1,255		(11)	.48	.48	11/15/2023	1
38373M-YH-7	GNR 0712A C - CMBS		09/01/2018	Paydown	XXX	917	917	1,043	953		(36)		(36)		917			.29	.29	04/16/2041	1
38378B-7E-3	GNR 1333 AC - CMBS		09/01/2018	Paydown	XXX	5,376	5,376	5,282	5,316		.60		.60		5,376			.55	.55	05/16/2046	1
38378B-R3-5	GNR 12142 AB - CMBS		09/01/2018	Paydown	XXX	1,844	1,844	1,845	1,844		.0		.0		1,844			.14	.14	11/16/2042	1
38378D-CE-3	GNR 1217B KG - CMO/RMBS		09/04/2018	Paydown	XXX	11,508	11,508	11,909	11,766		(258)		(258)		11,508			.173	.173	07/20/2039	1
912828-RE-2	UNITED STATES TREASURY		09/01/2018	Maturity @ 100.00	XXX	1,120,000	1,120,000	1,122,716	1,120,448		(448)		(448)		1,120,000			.0	.0	08/31/2018	1
912828-RH-5	UNITED STATES TREASURY		09/30/2018	Maturity @ 100.00	XXX	1,405,000	1,405,000	1,444,357	1,409,881		(4,881)		(4,881)		1,405,000			.0	.0	09/30/2018	1
0599999 - Bonds - U.S. Governments						2,546,821	2,546,725	2,589,503	2,552,536	0	(5,704)	0	(5,704)	0	2,546,832	0	(11)	(11)	22,910	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
01030L-BX-2	ALABAMA INCENTIVES FING AUTH SPL OBLIG		09/01/2018	Maturity @ 100.00	XXX	175,000	175,000	202,190	178,534		(3,535)		(3,535)		175,000			.0	.8,517	09/01/2018	1FE
3132L6-AK-7	FH V80910 - RMBS		09/01/2018	Paydown	XXX	10,997	10,998	11,690	11,684		(686)		(686)		10,998			.0	.258	12/01/2043	1
3136AK-NC-3	FNR 1439A AB - CMO/RMBS		09/01/2018	Paydown	XXX	12,260	12,260	12,601	12,475		(215)		(215)		12,260			.0	.227	09/25/2039	1
31373U-UC-9	FN 303979 - RMBS		09/01/2018	Paydown	XXX	3	3	3	3		.0		.0		3			.0	.0	01/01/2026	1
31374T-MV-8	FN 323572 - RMBS		08/01/2018	VARIOUS	XXX	3,231	2,995	3,602	3,480		(15)		(15)		3,465		(234)	(234)	.144	01/01/2029	1
3137A9-YB-6	FHR 3838D AE - CMO/RMBS		09/01/2018	Paydown	XXX	2,192	2,192	2,254	2,202		(10)		(10)		2,192			.0	.43	11/15/2018	1
3137AT-GB-3	FHR 4098D HA - CMO/RMBS		09/01/2018	Paydown	XXX	23,229	23,229	23,771	23,599		(370)		(370)		23,229			.0	.273	05/15/2041	1
3137AT-DD-1	FHR 4091K EA - CMO/RMBS		09/01/2018	Paydown	XXX	23,143	23,143	23,476	23,322		(179)		(179)		23,143			.0	.275	05/15/2040	1
3137AT-GC-0	FHR 4091G TH - CMO/RMBS		09/01/2018	Paydown	XXX	22,052	22,052	22,548	22,367		(315)		(315)		22,052			.0	.260	05/15/2041	1
3137AU-DP-1	FHR 4105D KA - CMO/RMBS		09/01/2018	Paydown	XXX	16,285	16,285	16,527	16,443		(158)		(158)		16,285			.0	.198	08/15/2041	1
3137B6-AY-7	FHR 4272C DC - CMO/RMBS		09/04/2018	Paydown	XXX	4,944	4,944	4,972	4,970		(26)		(26)		4,944			.0	.77	04/15/2043	1
3137BC-OZ-4	FHR 4382 V - CMO/RMBS		09/01/2018	Paydown	XXX	14,269	14,269	14,847	14,765		(495)		(495)		14,269			.0	.251	07/15/2029	1
31380D-FW-1	FN 436781 - RMBS		09/01/2018	Paydown	XXX	33	33	38	37		(4)		(4)		33			.0	.1	12/01/2024	1
3138E7-TW-4	FN AK3264 - RMBS		09/01/2018	Paydown	XXX	15,534	15,534	16,398	16,182		(648)		(648)		15,534			.0	.277	02/01/2027	1
3138EG-HR-8	FN AL0239 - RMBS		09/01/2018	Paydown	XXX	6,735	6,735	7,322	7,329		(594)		(594)		6,735			.0	.158	04/01/2041	1
31398P-SZ-8	FNR 1049H KB - CMO/RMBS		09/01/2018	Paydown	XXX	25,450	25,450	27,990	26,524		(1,074)		(1,074)		25,450			.0	.604	05/25/2025	1
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						355,358	355,123	390,230	363,916	0	(8,325)	0	(8,325)	0	355,592	0	(234)	(234)	11,564	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
00841Y-AH-1	ABMT 153 A8 - CMO/RMBS		09/01/2018	Paydown	XXX	4,452	4,452	4,540	4,519		(67)		(67)		4,452			.0	.85	04/25/2045	1FM
00842V-AC-7	ABMT 163 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	15,407	15,407	15,831	15,830		(423)		(423)		15,407			.0	.294	08/27/2046	1FM
037411-AV-7	APACHE CORP		09/15/2018	Maturity @ 100.00	XXX	75,000	75,000	94,690	77,686		(2,686)		(2,686)		75,000			.0	.5,175	09/15/2018	2FE
05581R-AD-8	BMWLT 161 A3 - ABS		07/20/2018	Paydown	XXX	7,609	7,609	7,608	7,609		.0		.0		7,609			.0	.169	01/22/2019	1FE
13057Y-AC-4	CRART 162 A3 - ABS		09/15/2018	Paydown	XXX	75,290	75,290	75,281	75,288		.2		.2		75,290			.0	.801	07/15/2020	1FE
33850B-AT-4	FSMT 171 2A2 - CMO/RMBS		09/04/2018	Paydown	XXX	29,271	29,271	29,756	29,747		(476)		(476)		29,271			.0	.554	03/25/2047	1FM
36249K-AA-8	GSMS 10C1 A1 - CMBS		09/01/2018	Paydown	XXX	15,376	15,376	15,991	15,572		(196)		(196)		15,376			.0	.344	08/10/2043	1FM
46643K-AA-9	JPIMT 145 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	22,242	22,242	22,808	22,696		(454)		(454)		22,242			.0	.383	10/25/2029	1FM
46644M-AS-5	JPIMT 153 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	9,290	9,290	9,422	9,421		(131)		(131)		9,290			.0	.191	05/25/2045	1FE
46644V-AD-8	JPIMT 154 1A4 - CMO/RMBS		09/01/2018	Paydown	XXX	13,209	13,209	13,435	13,209		(226)		(226)		13,209		0	.0	.242	06/26/2045	1FE
46648U-AE-4	JPIMT 174 A5 - CMO/RMBS		09/01/2018	Paydown	XXX	11,293	11,293	11,510	11,511		(218)		(218)		11,293			.0	.230	11/25/2048	1FM
64828Y-AR-2	NRZT 142 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	6,043	6,043	6,188	6,216		(173)		(173)		6,043			.0	.132	05/26/2054	1FE
81745N-AR-0	SEMT 141 2A5 - CMO/RMBS		09/01/2018	Paydown	XXX	13,900	13,900	14,080	14,059		(159)		(159)		13,900			.0	.343	04/25/2044	1FM
81746C-AQ-5	SEMT 143 A8 - CMO/RMBS		09/01/2018	Paydown	XXX	10,557	10,557	10,870	10,872		(315)		(315)		10,557		0	.0	.234	10/25/2044	1FM
81746M-AA-8	SEMT 154 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	14,069	14,069	14,260	14,230		(161)		(161)		14,069			.0	.241	11/25/2030	1FM
82281E-AA-5	SCOT 161 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	4,956	4,956	5,007	5,007		(51)		(51)		4,956			.0	.101	11/26/2046	1FM
82281F-AD-6	SCOT 172 A4 - CMO/RMBS		09/01/2018	Paydown	XXX	8,927	8,927	9,161	9,148		(221)		(221)		8,927			.0	.172	10/25/2047	1FM
842400-GF-4	EDISON CO		08/01/2018	Paydown	XXX	21,429	21,429	21,429	21,429		.0		.0		21,429			.0	.222	02/01/2022	1FE
878742-AV-7	TECK RESOURCES LTD.	C	08/14/2018	TENDER	XXX	97,970	97,000	105,104	100,948		(609)		(609)		100,338		(2,368)	(2,368)	.6,919	01/15/2022	3FE
92347X-AA-4	VZOT 161 A - ABS		09/20/2018	Paydown	XXX	33,251	33,251	33,245	33,248		2		2		33,251			.0	.297	01/20/2021	1FE
H4777-AA-3	SBM DEEP PANUKE S.A	D	07/01/2018	Paydown	XXX						.0		.0					.0	.53	12/15/2021	2FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						489,540	488,570	520,217	485,035	0	(6,562)	0	(6,562)	0	491,909	0	(2,368)	(2,368)	17,184	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						3,391,719	3,390,418	3,499,950	3,401,487	0	(20,591)	0	(20,591)	0	3,394,333	0	(2,613)	(2,613)	51,658	XXX	XXX
8399999 - Subtotals - Bonds						3,391,719	3,390,418	3,499,950	3,401,487	0	(20,591)	0	(20,591)	0	3,394,333	0	(2,613)	(2,613)	51,658	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
53263P-12-1	LIMBACH HOLDINGS EOY WARRANT		09/28/2018	COWEN AND COMPANY, LLC		41,544,000	76,414	XXX					.0				76,414	76,414	XXX		V
62345N-10-2	CM SEVEN STAR ACQUISITION ORD	D	07/03/2018	EARLY BIRD CAPITAL		45,322,000	447,761	XXX	430,850	437,357	(6,507)		(6,507)		430,850		16,911	16,911	XXX		L
9099999 - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						524,175	XXX	430,850	437,357	(6,507)	0	0	(6,507)	0	430,850	0	93,325	93,325	0	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE American Service Insurance Company, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

[illegible]

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.1

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

E13