



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018
OF THE CONDITION AND AFFAIRS OF THE

Gateway Insurance Company

NAIC Group Code	04795	(Current Period)	04795	(Prior Period)	NAIC Company Code	28339	Employer's ID Number	43-0762309
Organized under the Laws of	Missouri				State of Domicile or Port of Entry	Missouri		
Country of Domicile	United States							
Incorporated/Organized	05/21/1986				Commenced Business	12/01/1986		
Statutory Home Office	1401 S Brentwood Blvd., Ste 100				St. Louis, MO, US 63144-1416			
	(Street and Number)				(City or Town, State, Country and Zip Code)			
Main Administrative Office	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173		847-472-6700			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Mail Address	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173					
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)					
Primary Location of Books and Records	953 American Lane, 3rd Floor		Schaumburg, IL, US 60173		847-700-8603			
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)			
Internet Web Site Address	www.atlas-fin.com							
Statutory Statement Contact	Paul Anthony Romano				847-700-8603			
	(Name)				(Area Code) (Telephone Number) (Extension)			
	promano@atlas-fin.com				847-264-2716			
	(E-Mail Address)				(Fax Number)			

OFFICERS

Name	Title	Name	Title
Scott David Wollney	President, CEO	Paul Anthony Romano	VP, CFO, Treasurer
Leslie Patterson DiMaggio	Secretary, VP Operations		

OTHER OFFICERS

Bruce Wayne Giles	VP Product Development & Underwriting	Joseph Raymond Shugrue	VP Claims
-------------------	---------------------------------------	------------------------	-----------

DIRECTORS OR TRUSTEES

Leslie Patterson DiMaggio	Richard Patrick Dowd #	Bruce Wayne Giles	Serena Marie Lintker
Zenovia Diane Love	Paul Anthony Romano	Joseph Raymond Shugrue	Scott David Wollney
Douglas Karl Goldenbaum #			

State ofIllinois.....

County ofCook.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Scott David Wollney President, CEO	Paul Anthony Romano VP, CFO, Treasurer	Leslie Patterson DiMaggio Secretary, VP Operations
---------------------------------------	---	---

a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number _____

2. Date filed _____

3. Number of pages attached _____

Subscribed and sworn to before me this 9th day of November, 2018

Louis Charouhis, Sr. Staff Accountant
March 1, 2019

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Gateway Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	25,500,441		25,500,441	30,142,047
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks				
3. Mortgage loans on real estate:				
3.1 First liens	1,838,309		1,838,309	1,900,000
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$5,899,191), cash equivalents (\$) and short-term investments (\$797,277)	6,696,468		6,696,468	4,478,539
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets	1,020,599		1,020,599	852,964
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	35,055,817		35,055,817	37,373,551
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	189,748		189,748	291,565
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,526,577	1,088,101	4,438,476	1,919,503
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	28,372,787		28,372,787	28,757,800
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	10,487,102		10,487,102	8,100,890
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	3,329,626		3,329,626	3,733,630
18.2 Net deferred tax asset	2,788,482	919,873	1,868,609	1,109,388
19. Guaranty funds receivable or on deposit	222		222	367
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	450		450	2,939,441
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other-than-invested assets	249,304	125,739	123,565	126,796
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	86,000,115	2,133,713	83,866,402	84,352,931
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	86,000,115	2,133,713	83,866,402	84,352,931
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. GAB Loss Fund	95,170		95,170	82,301
2502. Receivable from pools	21,445		21,445	37,695
2503. State income tax receivable	6,200		6,200	6,200
2598. Summary of remaining write-ins for Line 25 from overflow page	126,489	125,739	750	600
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	249,304	125,739	123,565	126,796

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$7,211,403)	14,750,150	18,962,605
2. Reinsurance payable on paid losses and loss adjustment expenses	6,220,842	6,164,601
3. Loss adjustment expenses	7,100,263	7,065,351
4. Commissions payable, contingent commissions and other similar charges	1,355,487	3,195,614
5. Other expenses (excluding taxes, licenses and fees)	262,366	270,058
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	485,282	390,441
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$34,503,656 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	19,570,881	18,946,389
10. Advance premium		
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	14,102,763	15,422,372
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	340,428	268,354
15. Remittances and items not allocated	22,429	235,004
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	712,417	949,930
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	1,163,017	278,951
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	66,086,325	72,149,670
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	66,086,325	72,149,670
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	3,815,000	3,815,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes	3,000,000	3,000,000
34. Gross paid in and contributed surplus	9,934,572	9,934,572
35. Unassigned funds (surplus)	1,030,505	(4,546,311)
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	17,780,077	12,203,261
38. Totals (Page 2, Line 28, Col. 3)	83,866,402	84,352,931
DETAILS OF WRITE-INS		
2501. Unearned ceding commissions.....	1,073,395	193,158
2502. Escheatables.....	82,180	82,183
2503. Premium deficiency reserve.....	7,442	3,610
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,163,017	278,951
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

	1	2	3
	Current Year	Prior Year	Prior Year Ended
	to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 48,966,668)	50,698,780	51,854,209	69,281,701
1.2 Assumed (written \$ 28,127,404)	27,513,176	25,980,217	35,535,039
1.3 Ceded (written \$ 49,117,435)	50,859,811	51,953,930	69,433,955
1.4 Net (written \$ 27,976,637)	27,352,145	25,880,496	35,382,785
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 11,419,955):			
2.1 Direct	19,694,394	18,624,117	32,588,071
2.2 Assumed	11,662,046	10,387,850	24,896,025
2.3 Ceded	19,831,994	18,713,700	32,700,456
2.4 Net	11,524,446	10,298,267	24,783,640
3. Loss adjustment expenses incurred	4,896,818	5,067,918	8,848,381
4. Other underwriting expenses incurred	6,838,426	6,957,911	9,248,992
5. Aggregate write-ins for underwriting deductions	17,587	13,901	16,919
6. Total underwriting deductions (Lines 2 through 5)	23,277,277	22,337,996	42,897,932
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	4,074,868	3,542,500	(7,515,147)
INVESTMENT INCOME			
9. Net investment income earned	557,928	424,039	593,543
10. Net realized capital gains (losses) less capital gains tax of \$ 4,757	(65,239)	(39,829)	(53,825)
11. Net investment gain (loss) (Lines 9 + 10)	492,689	384,211	539,718
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 609 amount charged off \$ 80,117)	(79,508)	(206,842)	(258,641)
13. Finance and service charges not included in premiums	58,059	63,233	84,734
14. Aggregate write-ins for miscellaneous income	(10,527)	(5,691)	(146,209)
15. Total other income (Lines 12 through 14)	(31,976)	(149,299)	(320,116)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	4,535,581	3,777,411	(7,295,545)
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	4,535,581	3,777,411	(7,295,545)
19. Federal and foreign income taxes incurred	399,247	1,412,682	(1,425,089)
20. Net income (Line 18 minus Line 19)(to Line 22)	4,136,334	2,364,729	(5,870,456)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	12,203,261	17,549,358	17,549,358
22. Net income (from Line 20)	4,136,334	2,364,729	(5,870,456)
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 43,304	162,906	51,035	57,182
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(648,221)	340,759	(750,218)
27. Change in nonadmitted assets	1,925,797	(472,888)	(1,282,605)
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			2,500,000
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	5,576,816	2,283,635	(5,346,097)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	17,780,077	19,832,993	12,203,261
DETAILS OF WRITE-INS			
0501. CLAD fees	15,698	(2,685)	(2,685)
0502. Premium deficiency expense	1,889	16,586	19,604
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	17,587	13,901	16,919
1401. Retroactive reinsurance income/(expense)	1,678	4,486	(131,936)
1402. Miscellaneous income	(448)	373	(3,323)
1403. Fines and penalties	(11,757)	(10,550)	(10,950)
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	(10,527)	(5,691)	(146,209)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)			

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	24,999,856	33,332,978	41,041,166
2. Net investment income	754,050	391,807	568,097
3. Miscellaneous income	(31,978)	(149,299)	(320,116)
4. Total (Lines 1 to 3)	25,721,928	33,575,486	41,289,147
5. Benefit and loss related payments	18,028,795	12,379,347	19,253,499
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	13,416,667	11,083,643	14,131,040
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....			300,000
10. Total (Lines 5 through 9)	31,445,463	23,462,990	33,684,539
11. Net cash from operations (Line 4 minus Line 10)	(5,723,535)	10,112,495	7,604,608
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	9,969,388	1,917,834	3,588,831
12.2 Stocks			
12.3 Mortgage loans	61,691		
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			1
12.8 Total investment proceeds (Lines 12.1 to 12.7)	10,031,079	1,917,834	3,588,832
13. Cost of investments acquired (long-term only):			
13.1 Bonds	5,443,993	10,273,136	10,867,998
13.2 Stocks			
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets		150,000	150,000
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	5,443,993	10,423,136	11,017,998
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	4,587,086	(8,505,302)	(7,429,166)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock.....			2,500,000
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied).....	3,354,378	(930,837)	(2,705,985)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	3,354,378	(930,837)	(205,985)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	2,217,929	676,356	(30,543)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	4,478,539	4,509,082	4,509,082
19.2 End of period (Line 18 plus Line 19.1)	6,696,468	5,185,438	4,478,539

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☒ No ☐
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.

optOn Insurance Agency Inc. was formed. The new entity is a wholly owned subsidiary of UBI Holdings Inc.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☒ No ☐
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001539894
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes ☐ No ☒ NA ☐
- If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/11/2017
- 6.4

By what department or departments?

Missouri Department of Insurance.
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ NA ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
.....
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?.....

Yes [X] No []

- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
.....
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:\$
13.

Amount of real estate and mortgages held in short-term investments:\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

		1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	\$	\$
14.22	Preferred Stock	\$	\$
14.23	Common Stock	\$	\$
14.24	Short-Term Investments	\$	\$
14.25	Mortgage Loans on Real Estate	\$ 1,900,000	\$ 1,838,309
14.26	All Other	\$	\$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ 1,900,000	\$ 1,838,309
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes [] No []
- If no, attach a description with this statement.

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$
- 16.3 Total payable for securities lending reported on the liability page

\$

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?
- Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1	2
Name of Custodian(s)	Custodian Address
Fifth Third Bank.....	5001 Kingsley Drive, Maildrop 1M0B2J, Cincinnati, OH 45227.....

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?
- Yes ☐ No ☒

- 17.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1	2
Name of Firm or Individual	Affiliation
Asset Allocation & Management Company, LLC.....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets?
- Yes ☐ No ☐

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets?
- Yes ☐ No ☒

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
109875.....	Asset Allocation & Management.....		Securities And Exchange Commission.....	DS.....

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?
- Yes ☒ No ☐

- 18.2 If no, list exceptions:
-

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

- Has the reporting entity self-designated 5*GI securities?.....
- Yes ☐ No ☒

GENERAL INTERROGATORIES
PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] NA []
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.
.....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL										

5. Operating Percentages:

5.1 A&H loss percent.....

%

5.2 A&H cost containment percent

%

5.3 A&H expense percent excluding cost containment expenses.....

%

6.1 Do you act as a custodian for health savings accounts?..... Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$

6.3 Do you act as an administrator for health savings accounts?..... Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?..... Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?..... Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Gateway Insurance Company

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

6

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

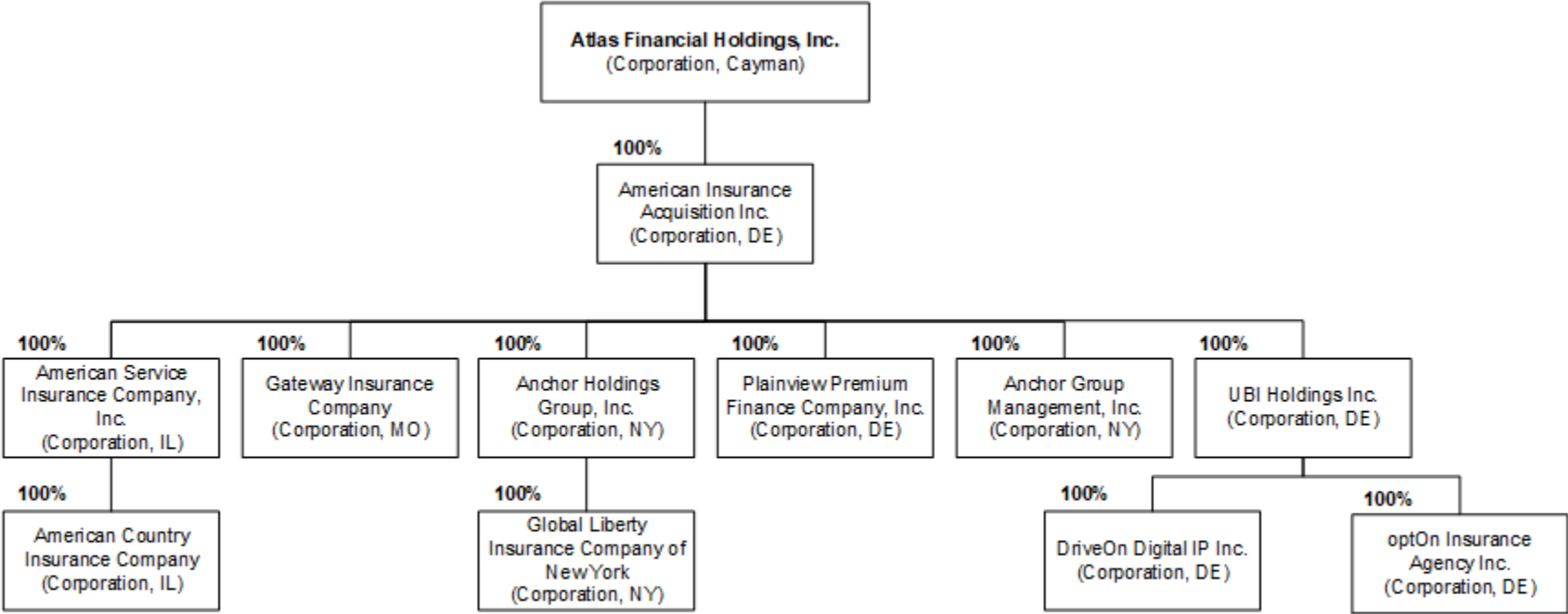
Current Year to Date – Allocated by States and Territories							
States, etc.	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2	3	4	5	6	7
	Active Status (a)	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date	Current Year To Date	Prior Year To Date
1. Alabama	AL L	241,446	360,282	99,493	59,651	278,704	136,758
2. Alaska	AK L						10,556
3. Arizona	AZ L	1,075,935	1,750,859	746,975	245,828	529,409	451,519
4. Arkansas	AR L	568,040	345,885	101,028	75,794	176,190	151,565
5. California	CA L	15,886,891	18,062,768	8,477,179	5,110,806	5,571,775	7,487,708
6. Colorado	CO L	1,056,251	1,940,029	366,993	360,767	528,281	708,490
7. Connecticut	CT N						
8. Delaware	DE L	533,424	421,823	236,975	143,788	599,735	4,932
9. Dist. Columbia	DC L	187,774	93,988	54,273	3,615	74,645	18,385
10. Florida	FL L			(7,064)	58,600	78,458	113,116
11. Georgia	GA L	914,886	838,594	75,079	126,445	770,643	607,400
12. Hawaii	HI L						
13. Idaho	ID L	396,625	183,129	113,995	55,701	108,980	74,451
14. Illinois	IL L			224,991	13,069	440,274	639,069
15. Indiana	IN L			(2,505)	(15,984)	7,828	69,214
16. Iowa	IA L	529,592	485,140	224,783	61,893	(14,446)	125,261
17. Kansas	KS L	628,737	601,336	313,049	189,670	148,099	241,105
18. Kentucky	KY L	952,618	636,417	230,821	751,433	532,211	(189,367)
19. Louisiana	LA L	2,125,312	1,406,023	761,522	907,879	1,574,990	675,587
20. Maine	ME L	937,369	878,697	198,462	313,246	403,406	10,099
21. Maryland	MD L	2,666,383	2,209,567	999,440	470,212	927,789	1,200,318
22. Massachusetts	MA N						
23. Michigan	MI L						5,839
24. Minnesota	MN L	3,334,241	4,192,544	1,687,527	1,264,029	2,316,131	1,814,904
25. Mississippi	MS L	613,771	885,380	208,795	168,772	861,588	572,527
26. Missouri	MO L	2,352,359	3,001,417	1,464,100	447,039	1,059,712	1,374,382
27. Montana	MT L	33,998	1,415	766		3,514	1,870
28. Nebraska	NE L	134,813	102,269	70,893	61,594	109,997	134,466
29. Nevada	NV L	1,250,230	2,053,183	1,365,630	279,286	1,320,995	1,784,507
30. New Hampshire	NH N						
31. New Jersey	NJ N						
32. New Mexico	NM L	667,067	361,723	89,204	8,743	288,833	137,422
33. New York	NY L			115,669	207,105	236,060	135,820
34. No. Carolina	NC L						3,818
35. No. Dakota	ND L	23,226	17,854	8,869	(159)	16,795	52,488
36. Ohio	OH L			9,057	1,100	(9,057)	23,751
37. Oklahoma	OK L	249,756	230,263	62,406	57,406	53,168	394,749
38. Oregon	OR L	1,542,340	1,931,787	217,206	118,756	765,603	623,272
39. Pennsylvania	PA L				(131,953)		260,196
40. Rhode Island	RI L						
41. So. Carolina	SC L	1,846,052	1,896,555	1,090,929	1,073,231	1,232,416	643,582
42. So. Dakota	SD L	8,170	33,579	31,728	28,662	42,581	54,556
43. Tennessee	TN L	830,939	611,203	119,157	339,693	407,737	441,752
44. Texas	TX L	4,178,411	4,950,539	2,626,489	2,303,185	1,672,245	725,715
45. Utah	UT L	399,209	413,368	155,140	126,593	150,265	67,109
46. Vermont	VT L						
47. Virginia	VA L	989,454	895,457	208,897	90,557	706,857	468,080
48. Washington	WA L	75,286	191,191	1,057,961	874,837	(382,146)	(387,941)
49. West Virginia	WV L	518,689	399,222	114,367	169,311	369,710	46,683
50. Wisconsin	WI L	1,217,374	1,132,138	574,767	538,575	1,478,305	1,310,803
51. Wyoming	WY L						
52. American Samoa	AS N						
53. Guam	GU N						
54. Puerto Rico	PR N						
55. U.S. Virgin Islands	VI N						
56. Northern Mariana Islands	MP N						
57. Canada	CAN N						
58. Aggregate Other Alien	OT XXX						
59. Totals	XXX	48,966,668	53,515,624	24,495,046	16,958,774	25,438,280	23,226,513
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page.	XXX						
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX						

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG47 R – Registered – Non-domiciled RRGs
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile – See DSLI) Q – Qualified – Qualified or accredited reinsurer
D – Domestic Surplus Lines Insurer (DSLII) – Reporting entities authorized to write surplus lines in the state of domicile N – None of the above – Not allowed to write business in the state10

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

As At:
9/30/2018



Atlas Ownership Percentages

Ariel Investments, LLC (Control Waived)	13.6%
Public Shareholders Holding Less Than 10% Each	86.4%
Total	100%

12

12

12

1212

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire				
2.	Allied lines				
3.	Farmowners multiple peril				
4.	Homeowners multiple peril				
5.	Commercial multiple peril				
6.	Mortgage guaranty				
8.	Ocean marine				
9.	Inland marine				
10.	Financial guaranty				
11.1	Medical professional liability -occurrence				
11.2	Medical professional liability -claims made				
12.	Earthquake				
13.	Group accident and health				
14.	Credit accident and health				
15.	Other accident and health				
16.	Workers' compensation				
17.1	Other liability occurrence	501,855	26,039	5.2	35.3
17.2	Other liability-claims made				
17.3	Excess Workers' Compensation				
18.1	Products liability-occurrence				
18.2	Products liability-claims made				
19.1,19.2	Private passenger auto liability				
19.3,19.4	Commercial auto liability	44,425,769	16,699,821	37.6	33.7
21.	Auto physical damage	5,771,156	2,968,534	51.4	55.7
22.	Aircraft (all perils)				
23.	Fidelity				
24.	Surety				
26.	Burglary and theft				
27.	Boiler and machinery				
28.	Credit				
29.	International				
30.	Warranty				
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business				
35.	TOTALS	50,698,780	19,694,394	38.8	35.9
DETAILS OF WRITE-INS					
3401.				
3402.				
3403.				
3498.	Sum. of remaining write-ins for Line 34 from overflow page				
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire			
2.	Allied lines			
3.	Farmowners multiple peril			
4.	Homeowners multiple peril			
5.	Commercial multiple peril			
6.	Mortgage guaranty			
8.	Ocean marine			
9.	Inland marine			
10.	Financial guaranty			
11.1	Medical professional liability-occurrence			
11.2	Medical professional liability-claims made			
12.	Earthquake			
13.	Group accident and health			
14.	Credit accident and health			
15.	Other accident and health			
16.	Workers' compensation			
17.1	Other liability occurrence	155,789	539,682	511,786
17.2	Other liability-claims made			
17.3	Excess Workers' Compensation			
18.1	Products liability-occurrence			
18.2	Products liability-claims made			
19.1,19.2	Private passenger auto liability			
19.3,19.4	Commercial auto liability	14,537,536	42,565,723	46,835,483
21.	Auto physical damage	1,727,944	5,861,263	6,168,355
22.	Aircraft (all perils)			
23.	Fidelity			
24.	Surety			
26.	Burglary and theft			
27.	Boiler and machinery			
28.	Credit			
29.	International			
30.	Warranty			
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business			
35.	TOTALS	16,421,269	48,966,668	53,515,624
DETAILS OF WRITE-INS				
3401.			
3402.			
3403.			
3498.	Sum. of remaining write-ins for Line 34 from overflow page			
3499.	Totals (Lines 3401 through 3403 plus 3498) (Line 34)			

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2018 Loss and LAE Payments on Claims Reported as of Prior Year-End	2018 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2018 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2015 + Prior	2,100	5,270	7,370	5,402	600	6,002	1,403	156	(216)	1,343	4,705	(4,730)	(25)
2. 2016	2,201	3,437	5,638	3,112	346	3,458	1,466	163	555	2,184	2,377	(2,373)	4
3. Subtotals 2016 + prior	4,301	8,707	13,008	8,514	946	9,460	2,869	319	339	3,527	7,082	(7,103)	(21)
4. 2017	4,497	8,522	13,019	5,140	571	5,711	3,517	391	3,552	7,460	4,160	(4,008)	152
5. Subtotals 2017 + prior	8,798	17,229	26,027	13,654	1,517	15,171	6,386	710	3,891	10,987	11,242	(11,111)	131
6. 2018	XXX	XXX	XXX	XXX	5,422	5,422	XXX	3,427	7,438	10,865	XXX	XXX	XXX
7. Totals	8,798	17,229	26,027	13,654	6,939	20,593	6,386	4,137	11,329	21,852	11,242	(11,111)	131
8. Prior Year-End Surplus As Regards Policy-holders	12,203										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. 127.8	2. (64.5)	3. 0.5
											Col. 13, Line 7 Line 8		
											4. 1.1		

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.


	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?NO.....

Explanation:

- 1.
- 2.
- 3.
- 4.


Bar Code:

1.




28339201849000003

2.




28339201845500003

3.



28339201836500003

4.



28339201850500003

OVERFLOW PAGE FOR WRITE-INS

PQ002 Additional Aggregate Lines for Page 02 Line 25.
*ASSETS

	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
2504. Other receivables.....	750		750	600
2505. Prepaid expenses.....	125,739	125,739		
2506.				
2597. Summary of remaining write-ins for Line 25 from Page 02	126,489	125,739	750	600

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	1,900,000	1,900,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	61,691	
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,838,309	1,900,000
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	1,838,309	1,900,000
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	1,838,309	1,900,000

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	852,964	622,825
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		150,000
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	167,635	80,139
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,020,599	852,964
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	1,020,599	852,964

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	30,142,047	22,967,233
2. Cost of bonds and stocks acquired	5,443,993	10,867,998
3. Accrual of discount	3,553	3,374
4. Unrealized valuation increase (decrease)	38,576	15,504
5. Total gain (loss) on disposals	(60,482)	835
6. Deduct consideration for bonds and stocks disposed of	9,969,388	3,588,831
7. Deduct amortization of premium	97,858	124,064
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	25,500,441	30,142,047
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	25,500,441	30,142,047

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Gateway Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	22,880,503	797,082	763,472	(27,753)	22,745,976	22,880,503	22,886,360	24,978,446
2. NAIC 2 (a).....	3,412,779			(1,421)	4,530,875	3,412,779	3,411,358	4,673,917
3. NAIC 3 (a).....								
4. NAIC 4 (a).....								489,685
5. NAIC 5 (a).....								
6. NAIC 6 (a).....								
7. Total Bonds	26,293,281	797,082	763,472	(29,174)	27,276,852	26,293,281	26,297,718	30,142,047
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock.....								
15. Total Bonds & Preferred Stock	26,293,281	797,082	763,472	(29,174)	27,276,852	26,293,281	26,297,718	30,142,047

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$797,277 ; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	797,277	xxx	797,082		1,836

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....		
2. Cost of short-term investments acquired	797,082	
3. Accrual of discount	195	
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	797,277	
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11)	797,277	

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Part 2 - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Gateway Insurance Company

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

[illegible]

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

Schedule D - Part 3

NONE

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Gateway Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments																					
383766-3Q-1	GNR 11119 D - CMBS		09/01/2018	Paydown	XXX	188,597	188,597	202,752	196,278			(7,681)		(7,681)	188,597				3,867	04/16/2045	1
38378D-CE-3	GNR 1217B KG - CMO/RMBS		09/01/2018	Paydown	XXX	9,836	9,836	10,179	10,057			(221)		(221)	9,836				148	07/20/2039	1
38378T-X8-8	GNR 13109C M - CMO/RMBS		09/01/2018	Paydown	XXX	21,866	21,866	22,918	22,568			(702)		(702)	21,866				457	06/16/2041	1
0599999 - Bonds - U.S. Governments						220,299	220,299	235,849	228,903			(8,603)		(8,603)	220,299				4,472	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																					
3136AH-6A-3	FNR 145E LB - CMO/RMBS		09/01/2018	Paydown	XXX	4,769	4,769	4,800	4,798			(29)		(29)	4,769				72	07/25/2043	1
3136AK-N7-4	FNR 1454A LA - CMO/RMBS		09/01/2018	Paydown	XXX	6,305	6,305	6,440	6,445			(140)		(140)	6,305				116	02/25/2044	1
3136AK-NC-3	FNR 1439A AB - CMO/RMBS		09/01/2018	Paydown	XXX	8,173	8,173	8,400	8,317			(143)		(143)	8,173				151	09/25/2039	1
3137AD-TJ-6	FHMS K014 A2 - CMBS		09/01/2018	Paydown	XXX	2,440	2,440	2,695	2,616			(176)		(176)	2,440				55	04/25/2021	1
3137B6-AY-7	FHR 4272C DC - CMO/RMBS		09/01/2018	Paydown	XXX	4,761	4,761	4,788	4,786			(25)		(25)	4,761				74	04/15/2043	1
3137B8-Z3-4	FHR 4316B DA - CMO/RMBS		09/01/2018	Paydown	XXX	6,753	6,753	6,989	6,955			(202)		(202)	6,753				118	02/15/2039	1
3137BC-GR-3	FHR 4360C DA - CMO/RMBS		09/01/2018	Paydown	XXX	22,576	22,576	23,539	23,295			(719)		(719)	22,576				400	05/15/2037	1
3137G1-BW-6	FWLS 17SC02 2A1 - CMO		09/01/2018	Paydown	XXX	4,196	4,196	4,286	4,289			(93)		(93)	4,196				85	05/25/2047	1
54627R-AJ-9	LOUISIANA LOC GOVT ENVIRONMENTAL FACS &		08/01/2018	Call @ 100.00	XXX	16,568	16,568	16,564	16,566						16,566		1	1	185	02/01/2022	1FE
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						76,541	76,541	78,502	78,067			(1,528)		(1,528)	76,539		1	1	1,257	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
00842A-AD-1	ABMT 154 A4 - CMO/RMBS		09/01/2018	Paydown	XXX	5,646	5,646	5,659	5,659			(14)		(14)	5,646				119	06/26/2045	1FM
00842C-AC-9	ABMT 157 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	10,092	10,092	10,319	10,312			(221)		(221)	10,092				212	11/27/2045	1FM
00842V-AC-7	ABMT 163 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	6,163	6,163	6,332	6,332			(169)		(169)	6,163				118	08/27/2046	1FM
13057Y-AC-4	CRART 162 A3 - ABS		09/15/2018	Paydown	XXX	75,290	75,290	75,281	75,288			2		2	75,290				801	07/15/2020	1FE
33850B-AC-1	FSMT 171 1A3 - CMO/RMBS		09/01/2018	Paydown	XXX	8,200	8,200	8,320	8,318			(119)		(119)	8,200				171	03/25/2047	1FM
46643K-AA-9	JPIMT 145 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	16,681	16,681	16,405		276				276	16,681				118	10/25/2029	1FM
46644M-AS-5	JPIMT 153 A3 - CMO/RMBS		09/01/2018	Paydown	XXX	5,574	5,574	5,653	5,652			(78)		(78)	5,574				114	05/25/2045	1FE
46644V-AD-8	JPIMT 154 1A4 - CMO/RMBS		09/01/2018	Paydown	XXX	4,954	4,954	5,038		(85)				(85)	4,954				91	06/26/2045	1FE
478160-AU-8	JOHNSON & JOHNSON		07/15/2018	Maturity @ 100.00	XXX	250,000	250,000	270,570	254,576			(4,576)		(4,576)	250,000				12,875	07/15/2018	1FE
81746C-AQ-5	SEMT 143 A8 - CMO/RMBS		09/01/2018	Paydown	XXX	7,540	7,540	7,764	7,766			(225)		(225)	7,540				167	10/25/2044	1FM
82281E-AA-5	SCOT 161 A1 - CMO/RMBS		09/01/2018	Paydown	XXX	2,441	2,441	2,466	2,466			(25)		(25)	2,441				50	11/26/2046	1FM
82281F-AD-6	SCOT 172 A4 - CMO/RMBS		09/01/2018	Paydown	XXX	3,826	3,826	3,926	3,921			(95)		(95)	3,826				74	10/25/2047	1FM
SOUTHERN CALIFORNIA																					
842400-GF-4	EDISON CO.		08/01/2018	Paydown	XXX	16,071	16,071	16,071	16,071						16,071				167	02/01/2022	1FE
88161D-AA-9	TESLA 18A A - ABS		09/20/2018	Paydown	XXX	25,657	25,657	25,652		5			5		25,657				277	12/20/2019	1FE
94989Q-AS-9	WFCM 15SG1 A1 - CMBS		09/01/2018	Paydown	XXX	28,496	28,496	28,343	28,398			98		98	28,496				274	09/17/2048	1FM
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						466,632	466,632	487,801	424,760			(5,225)		(5,225)	466,632				15,629	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						763,472	763,472	802,152	731,730			(15,356)		(15,356)	763,470		1	1	21,358	XXX	XXX
8399999 - Subtotals - Bonds						763,472	763,472	802,152	731,730			(15,356)		(15,356)	763,470		1	1	21,358	XXX	XXX
9999999 Totals						763,472	XXX	802,152	731,730			(15,356)		(15,356)	763,470		1	1	21,358	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

E13